



Architect or Engineer Certification
For Construction Notices

Date:
Facility ID:
Facility Name:
Project Location:
Project Description:

This certification is for construction projects within existing Article 28 facilities that do not require a Certificate of Need application. See State Hospital Code 10 NYCRR Part 710 for projects eligible for construction notices.

The architect or engineer for the project to complete the following:

I hereby certify to the New York State Department of Health that:

I have been retained by the facility to provide professional architectural/engineering services for the design and preparation of construction drawings, and as applicable, to make site visits during construction, and to perform other required services to oversee the progress, quality, and conformance of the work.

The project is compliant with all applicable local, state and federal codes and regulations. This includes the provisions of the State Hospital Code 10 NYCRR Part 711 and applicable provisions of Parts 712-717.

Article 28 State Hospital Code reference standards:

- 2012 NFPA 101 Life Safety Code, including 2012 NFPA Health Care Facilities Code where applicable
2014 or 2018 Facility Guidelines Institute, Design and Construction Guidelines
2010 ADA Standards for Accessible Design

I understand that work to Article 28 space has been evaluated and will be brought into compliance with new construction standards as indicated within applicable requirements the State Hospital Code, that waivers or exceptions to the standards are not permitted, and that any inconsistencies with State Hospital Code found upon construction completion may result in a survey citation.

Architect or Engineer Professional Stamp

Signature of Architect or Engineer

Name of Architect or Engineer (print)

Professional New York State License Number

Business Address

The **applicant** for the project to complete the following:

The undersigned applicant understands and agrees that, despite this architect/engineer certification, the Department of Health retains the authority to (a) review the project documents and/or inspect the associated work, and (b) revoke its approval of the application for failure to comply with the State Hospital Code. The applicant is obligated to implement changes mandated by the Department to ensure compliance with the codes and regulations, regardless of whether physical plant construction or alterations have been completed and understands that the costs of any subsequent corrections necessary to achieve compliance may not be considered allowable costs for reimbursement under 10 NYCRR Part 86.

Authorized Signature for Applicant

Name (print)

Title

Date

For **Limited Reviews** that may be classified as a **Construction Notice** per State Hospital Code, 10 NYCRR Part 710.1, please continue to [page 3](#) and complete the project eligibility checklist.

If the answer to any of the questions below is “Yes”, the Limited Review is not eligible for a **Construction Notice**.

Project Eligibility Checklist for Architectural/Engineering Self-Certification

#	Question	Yes	No
1	Is a waiver or an exception to the standards required?	<input type="checkbox"/>	<input type="checkbox"/>
2	Will the project costs exceed \$30,000,000?	<input type="checkbox"/>	<input type="checkbox"/>
3	Is Bulk Oxygen Medical Gas Storage associated with this project? Examples of Bulk Oxygen /Medical Gas Storage projects include but not limited to the following: a. Hyperbaric Chambers b. Bulk Systems include Nitrous Oxide System and Oxygen System defined below: Bulk Oxygen System: an assembly of equipment such as oxygen storage containers, pressure regulators, pressure relief devices, vaporizers, manifolds, and interconnecting piping that has a storage capacity of more than 20,000 ft3 of oxygen including unconnected reserves on hand at the site. The bulk oxygen system terminates at the point where oxygen at service pressure first enters the supply line. Bulk Nitrous Oxide System: an assembly of equipment as described in the definition of bulk oxygen system that has a storage capacity of more than 3200 lb., approximately 28,000 ft3, of nitrous oxide.	<input type="checkbox"/>	<input type="checkbox"/>
4	Will this project have Locked or Secured Units? Examples of Locked or Secured Units include but not limited to the following: a. Observation Units for behavioral health in ED’s. b. Behavioral health located within inpatient settings. c. Nursing Homes or other facilities with Dementia Units that are locked. d. Corrections and Detention Facilities located in Hospitals, Ambulatory Health Care Occupancies and Business Occupancies where healthcare is provided.	<input type="checkbox"/>	<input type="checkbox"/>
5	Will this project involve construction of new procedure rooms or operating rooms, or the renovation of existing procedure rooms or operating rooms, including modifications to associated support systems such as mechanical, electrical, plumbing, medical gas, fire detection or fire protection systems, within a hospital or ambulatory surgery center? <i>(Projects limited to cosmetic upgrades are eligible for self-certification.)</i> Examples, include but not limited to the following: a. Endoscopy Procedure Rooms b. Procedure Rooms c. Operating Rooms d. Interventional Imaging located in procedure rooms or operating rooms	<input type="checkbox"/>	<input type="checkbox"/>
6	Will this project involve construction that is required to comply with New Ambulatory Health Care Occupancy requirements as indicated in Chapter 20 of NFPA 101, 2012 edition? Examples, include but not limited to the following: a. Ambulatory Surgery Centers b. Endoscopy Centers and or other Procedure Rooms c. Free Standing Emergency Departments	<input type="checkbox"/>	<input type="checkbox"/>
7	Is this project intended to provide Ventilator Units for patients located in nursing homes?	<input type="checkbox"/>	<input type="checkbox"/>
8	Does this project involve Airborne Infection Isolation (Aii) Rooms or Protective Environment (PE) Rooms?	<input type="checkbox"/>	<input type="checkbox"/>