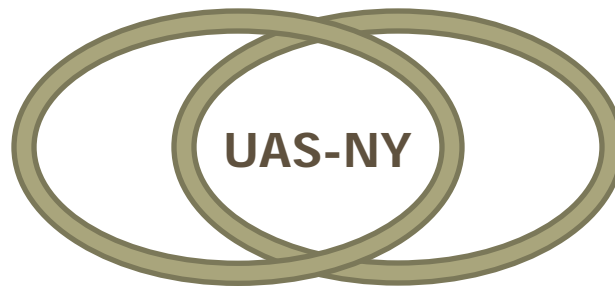
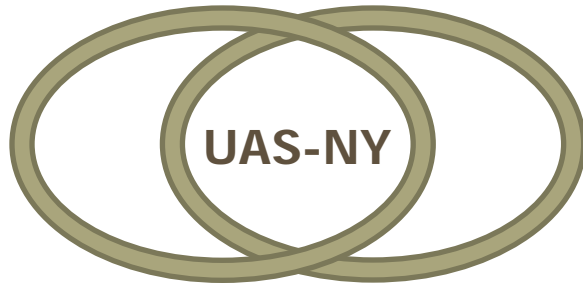


Uniform Assessment System – New York

Interested Parties Meeting



Uniform Assessment System – New York



Uniform Assessment System - New York

UAS-NY Overview

Carla R. Williams

Deputy Director,

Division of Long Term Care,

Office of Health Insurance Programs

UAS – NY: Goal

Develop a comprehensive assessment instrument that:

- evaluates an individual's health status, strengths, care needs, and preferences.
- guides the development of individualized long term care service plans.
- ensures that individuals with long term care needs receive the right care, within the right setting and at the right time.

Current Environment

- multiple screening and assessment tools in current use complicates an already fragmented and poorly coordinated delivery system
- many instruments lack standardization, have not been tested for reliability or validity, and are not automated
- care plans and referrals are open to subjectivity

Background

- extensive review of literature to identify uniform data sets and assessment instruments developed by other states and countries
- secured expertise to validate preliminary findings and recommendations

Learning from Other States and Countries

Conducted focused discussions about uniform assessment instruments, e.g., Washington, Michigan, Massachusetts, New Jersey, Maine, Louisiana, Canada.

- why particular tool was chosen/developed
- how business plan developed, from goals to implementation
- functions of the tool
- settings in which the tool is used
- stake holder support
- screening process to determine who gets the in-home assessment
- training needs and resources
- inter-rater reliability

Tool Selection

- two possibilities surfaced:
 - CMS CARE
 - interRAI Community Health Assessment
- an interRAI Community Health Assessment was chosen (interRAI CHA)

Capabilities

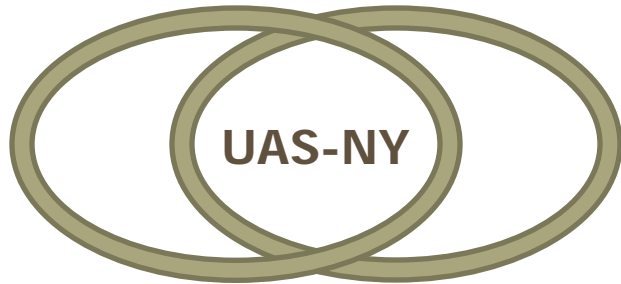
- evaluates an individual's health status, care needs and preferences
- by design, compatible in key areas with the nursing home RAI
- consistent, standardized, and validated level of care and assessment
- automatable
- high inter-rater reliability
- data set can be used across settings with customization for specific settings
- reasonable length of assessment
- available for use

Development for Software and Training

- evaluate the interRAI CHA vis-à-vis programs and regulations
- map the current assessment process in all programs
- document use of the current assessment
- identify outcomes and initiate curriculum for assessor education program
- computer readiness survey of users
- beta testing preparation
- web-based training being created
- training tools incorporated into software

Scope

- Assessment:
 - Community Health Assessment
 - Functional Supplement
 - Mental Health Supplement
 - Scales, Triggers, CAPs, RUG III
- New York State Adds:
 - Skilled Nursing Facility Level of Care
 - New York State-Specific Data
- Summary Output Will Support:
 - Service Planning
 - Care Planning
 - Case Management



Uniform Assessment System - New York

UAS-NY Assessment Overview

Karen Ambros

Project Coordinator

UAS-NY Content:

List of Assessment Tools in the Suite

Current UAS-NY Tools:

Community Health Assessment (Home Care)

Functional Supplement

Mental Health Supplement

Other Tools Available for Future:

Community Mental Health

Mental Health

Acute Care

Post-Acute Care

Contact Assessment

Long Term Care Facility

Assisted Living

Emergency Screener for Psychiatry

Palliative Care

Intellectual Disability

UAS-NY Content: Assessment Tool Features

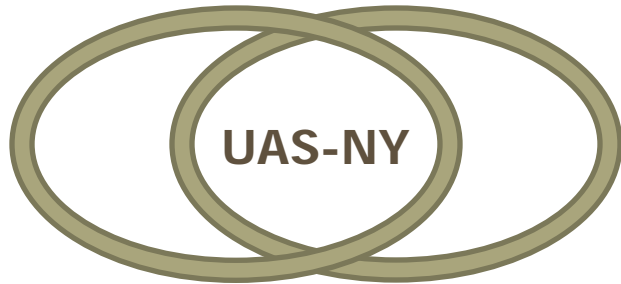
- tools have a common **uniform data set**
- tools contain **assessment domains**
- assessment domains contain **subcategories**
- subcategories contain **items** (questions)
- **scales embedded** to assist in measuring **severity of needs**
- **scores and algorithms** produce outcomes

UAS-NY Content: Domains and Sub-Categories

		Sub-Categories	
Domains	Functional Status	<ul style="list-style-type: none">• Instrumental Activities of Daily Living (IADLs)• Activities of Daily Living (ADLs)	<ul style="list-style-type: none">• Locomotion/Walking• Physical Function Improvement Potential
	Health Conditions	<ul style="list-style-type: none">• Falls• Dyspnea• Pain Symptoms• Tobacco, Alcohol and Substance Abuse	<ul style="list-style-type: none">• Balance• Pulmonary• Neurological• Instability of Conditions
	Cognition	<ul style="list-style-type: none">• Skills for Daily Decision Making• Memory Recall Ability• Change in Decision Making	<ul style="list-style-type: none">• Periodic Disordered Thinking or Awareness• Acute Change in Mental Status from Usual Functioning

UAS-NY Content: Assessment Outcomes

- Level of Care
- Clinical Assessment Protocols
- Quality Indicators
- Aggregate Reports
- Ad Hoc Reporting
- Resource Utilization Groups



Uniform Assessment System - New York

UAS-NY Software Application Development

K. John Russell

Project Director

Developing the UAS-NY Application

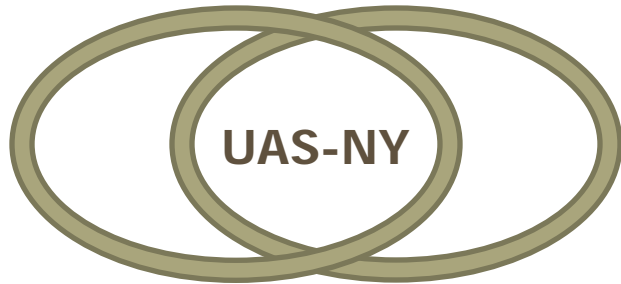
- Obtained application development expertise
 - defined system requirements and issued an RFP
 - solicited and evaluated proposals
- Procured expertise to build the software application:
 - GCOM Software & CIM for application development
 - NYSTEC to assure software quality and completeness
- Conducting an iterative system development cycle
 - measure progress and quality throughout system development
 - enables us to adjust to changing circumstances

UAS-NY Development Cycle

- Iterative Development Cycle
 - Iteration 1: May - July
 - Iteration 2: August - November
 - Iteration 3: November - January
 - Iteration 4: January - April
 - Iteration 5 BETA: April - June
 - Final Candidate Cycle: June - July
 - Pilot Implementation: July - October
- State-wide Implementation
 - Fall 2012 onward

UAS-NY System Architecture

- Web-based software application
 - Internet Explorer and FireFox
 - Secure connection (https:\\) 128-bit encryption
- Health Commerce System (HCS) Web Portal Integration
 - HCS User Login
 - System Security
 - Role-based system
- On-line and Off-line capabilities
 - On-line: access from any computer that has internet access and approved browser
 - Off-line: from a laptop computer if internet not available



Uniform Assessment System - New York

UAS-NY Beta Test

Scott Jill

UAS-NY Program Coordinator

Beta Test Goals

- provide insight into system technical stability
- inform statewide roll-out strategy
- provide insight into organizational ability to integrate UAS-NY into business practices
- provide insight on UAS-NY impact on assessed individuals

Beta Participants

Adirondack Manor Home for Adults

Albany County DSS

Amber Court

Broome County Community Alternative System Agency

Catholic Health Systems

Chautauqua Department of Social Services

Chemung Department of Social Services

Eddy Visiting Nurse Association

Elderwood Village at Westwood

Erie Department of Social Services

Fidelis

Gurwin Jewish Long Term Home Health Care Program

McAuley Assisted Living

Metropolitan Jewish Long Term Home Care

Monroe Department of Social Services

Montefiore Home Health Agency

Nassau County Department of Social Services

New York City Human Resource Administration

New York Congregational LTHHCP

Otsego Department of Social Services/NY Connects

Otsego Manor LTHHCP

Parker Jewish Institute

Senior Health Partners, Inc.

Sunrise & Lakeside Adult Day Health Care Programs

Unity Health Systems

VIP Health Care

Visiting Nurse Association of Long Island

Visiting Nurse Services of New York - CHOICE

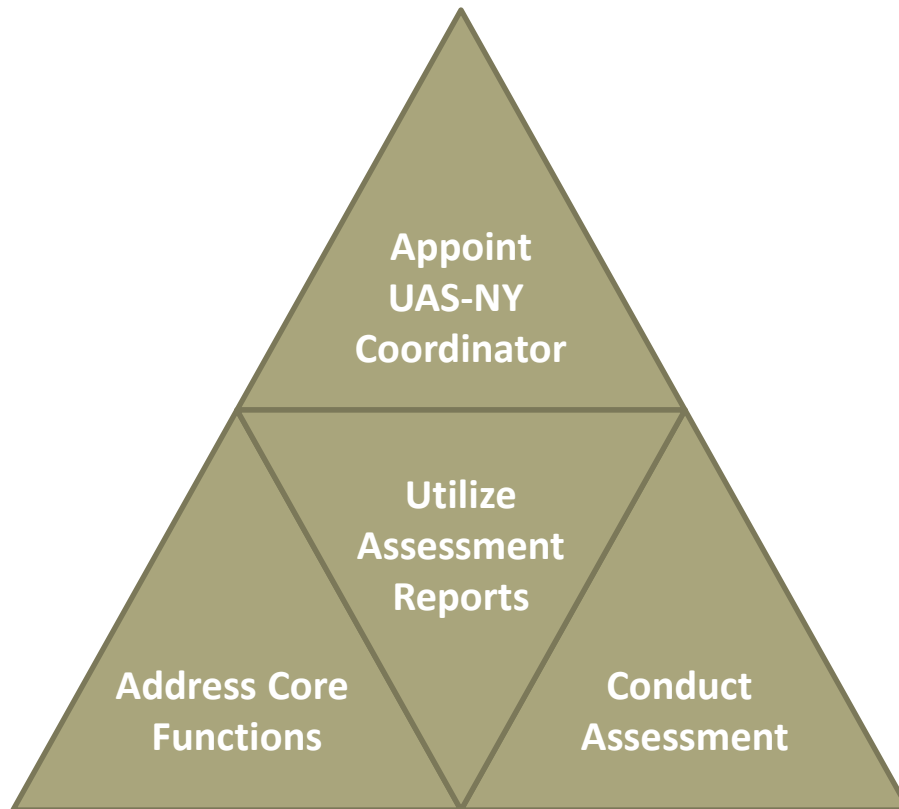
Visiting Nurse Services of New York - Home Care

Warren County Health Services

Warren Department of Social Services

Westchester Department of Social Services

UAS-NY Beta Implementation



UAS-NY Beta Timeline

	October - December	January - March	April - June
Beta Readiness			
• Identify UAS-NY Coordinator	X		
• Assess IT infrastructure	X		
• Establish HCS Accounts	X		
• Ensure Staff Computer Readiness	X		
Online Training	X	X	X
Conduct Beta Test			
• Identify Assessors	X		
• Conduct Assessment			X
• Utilize Reports			X
Provide Feedback and Input	X	X	X

For additional information visit:

http://www.health.ny.gov/facilities/long_term_care/#uas_ny

Questions may be emailed to:

uasny@health.state.ny.us