

Request for Proposals
RFP #C042625
Actuarial Rate Certification Services and Support

AMENDMENT #1

February 24, 2026

The following are official modifications, which are hereby incorporated into RFP #C042625: Actuarial Rate Certification Services and Support.

Deleted language appears in strikethrough (“~~xxx~~”) and added language appears in red text.

The information contained in this amendment prevails over the original RFP language.

Bidders should review all documents in their entirety to ensure all amended language is incorporated into proposals.

4.3 Hourly Consulting Projects

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B. Policy and Financial Management Consulting Services

The Contractor will be required to provide the following Policy and Financial Management Consulting Services throughout the course of the contract:

1. Provide technical assistance in evaluating MCO management agreements, contracts between related parties, and cost sharing and cost allocation methods as they impact MC programs;
2. Assist the Department in the refinement of the Department’s data intake, financial and encounter reporting documentation including MLR, financial monitoring tools, MCO on-site monitoring, and MCO engagement techniques which include but are not limited to internal data intake policies, procedures, and monitoring; financial report instructions; encounter reporting instructions, MCO encounter validation reports and MCO financial vs. plan encounter data comparison reports;
3. Track and analyze financial impacts of populations transitioning from service-based payments programs to MC;
4. Work with the Department to increase the efficiency of the Medicaid and MC delivery system. This includes identifying opportunities to responsibly slow the growth rate of service costs; optimize utilization; and improve health outcomes;
5. Develop a data analytics team to assist the Department in various financial and clinical analysis related to changes impacting the Medicaid and Medicare landscape. This team may be inclusive of staff of the on-site analytic team but will be distinct in its operations;
6. Support the Department in analyzing the impact of various legislative and budgetary proposals that arise from the State’s Executive Budget process. Analyses will be used to value proposals, evaluate efficacy, and determine impacts, if any, on Medicaid and MC programs;
7. Support the Department in operating the MC Stop Loss program. Associated tasks include but are not limited to maintenance and development of the Stop Loss program claim processing methodology and payment systems, updates to the Stop Loss program manual and other associated stakeholder documentation and communication, and overall evaluation of the Stop Loss program;
8. Support the Department in the development, maintenance, and use of software based rate models which produce MCO rate schedules and fiscal impacts based on rate exhibits developed for MC programs;

9. Analyze and provide guidance related to Federal legislation and regulation impacting Medicaid MC and service-based payment programs;
10. Provide the Department with support in the determination of the reasonability of MCO In Lieu of Service (ILS) proposals. Contractor will engage in a cost benefit analysis of each new MCO submitted ILS proposal based on an established methodology;
11. Support the Department in evaluating the efficiency, quality and financial impact of Medicaid and Medicare integrated programs;
12. Complete other ad hoc actuarial, consulting and financial/accounting technical assistance, as required;
13. ~~Assist the Department in the implementation and reporting of the State's proposals submitted to CMS pertaining to the 10% enhanced Federal Medical Assistance Percentage (FMAP) for Home and Community Based funding, including the development of the methodologies for the dispersal of funds per the request of the Department and/or State Partner Agencies who submitted proposals;~~

4.4 Staffing

A. Staffing Requirements

The Contractor will assume responsibility for organizing and training a staff to support tasks as described in 4.2.A and 4.2.B. The Contractor will also be responsible for coordinating and managing all tasks assigned. To accomplish this, the Contractor shall:

1. Dedicate a core team consisting of approximately 10-15 staff including individuals with the experience and credentials, who will be working directly with the Department staff on a consistent basis. Staff assigned to the core team will be required to attend meetings and work from the Department's current office at One Commerce Plaza, Albany, NY, 12237, as well as any successor Department office, as requested by the Department. It is anticipated that the core team will be required to work on site approximately 3-5 days per month during the first six (6) months of the contract, 3 days per month during months seven (7) through twelve (12) of the contract, and approximately 4-6 times 5 days per year calendar quarter thereafter, or as otherwise requested by the Department. The cost of this team must be included in Part A of the Cost Proposal, under the Deliverable Based Section. The Contractor will not be able to bill for any travel costs associated with the core team. Staffing of the core team will be subject to the Department's approval. The core team must consist of:

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2. Dedicate an analytic team of 3-5 staff (separate from the core team) who have the authority to provide recommendations and distribute information to the Department and stakeholders on MC rates. Staff assigned to the analytic team will be required to attend meetings and work from the Department's current office at One Commerce Plaza, Albany, NY, 12237, as well as any successor Department office, as requested by the Department. It is anticipated that the analytic team will be required to work on site 3-5 days per month during the first six (6) months of the contract, 3 days per month during months seven (7) through twelve (12) of the contract, and approximately 4-6 times 5 days per year calendar quarter thereafter, or unless otherwise requested by the Department. This team will be expected to work full time with the OHIP MC Rate Setting team.

9.0 Attachments

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The following attachments are attached and included in this RFP:

- A. Proposal Document Checklist
- B. Cost Proposal
- C. Program Definitions
- D. Security and Privacy Requirements

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Attachment D: is hereby added to the RFP as follows:

Attachment D: Security and Privacy Requirements

The Security and Privacy Requirements

The New York State Department of Health (the “Department” or “DOH”) requires that vendors providing information technology (IT) and application services to the Department comply with the security and privacy policies and controls outlined in this RFP and all other applicable New York State and federal laws, regulations, policies, and standards for IT systems that transfer, process, or store Department data, including but not limited to the Health Insurance Portability and Accountability Act (HIPAA) Omnibus Final Rule. Vendors are required to verify compliance with security and privacy requirements by providing the Department with documentation and artifacts that validate applicable standards and controls are in place.

Moderate-Plus Security Controls Baseline

The Department has defined a *Moderate-Plus Security Controls Baseline* based on, and consistent with the security provisions described in Centers for Medicare and Medicaid Services (CMS) Acceptable Risk Safeguards (ARS) and National Institute of Standards and Technology (NIST) Special Publication (SP) 800-53 at the Moderate level. Additionally, the Department has augmented these federal standards with New York State Policies and Standards. The *Moderate-Plus Security Controls Baseline* includes a System Overview document. All bidders shall complete the System Overview document – which is attached to this RFP – to thoroughly and accurately describe the technical security environments that will support the proposed system.

System Security Plan (SSP)

The Department requires the selected bidder/vendor to maintain a System Security Plan (SSP) that aligns with the *Moderate-Plus Security Controls Baseline* for any system that will transfer, process, or store Department data. The Department considers bidder responses to represent a commitment by the bidder to adhere to, and demonstrate compliance with, the *Moderate-Plus Security Controls Baseline*. The Department will provide necessary templates and guidelines with respect to SSP format to the selected bidder/vendor upon contract award.

Data Use Agreement (DUA) and Business Associate Agreement (BAA)

Selected bidder/vendor shall execute a Data Use Agreement (DUA) and Business Associate Agreement (BAA) and submit a System Security Plan (SSP) Attestation to the Department upon contract award. The SSP Attestation requires the selected bidder/vendor to certify to the Department that the selected bidder/vendor system adheres to the *Moderate-Plus Security Controls Baseline*.

Application Protocol Interface (API) Security

If the selected bidder/vendor intends to employ APIs, they shall demonstrate compliance with OHIP Secure Application Programming Interface (API) Development standards, as applicable based on the API use case. These standards have been established to assure that APIs created in support of OHIP systems and data meet applicable federal and state laws, regulations, and policies, and are designed, developed, and tested using industry best practices and secure development standards.

FedRAMP Certified Cloud Solutions

If the selected vendor solution utilizes a FedRAMP Certified cloud solution, the vendor shall indicate how such cloud services are utilized, including the type of cloud service utilized (e.g. Infrastructure as a Service (IaaS), Platform as a Service (PaaS), and/ or Software as a Service (SaaS)).

Additionally, vendor shall provide a matrix that illustrates whether the vendor, or the cloud service provider, is responsible for each security control. Vendor shall also indicate if responsibility for a given control is shared between the vendor and the cloud service provider.

Selected vendor shall also provide evidence to the Department that the cloud service offerings have been certified against criteria consistent with the *Moderate-Plus Security Controls Baseline*. The scope of this

certification shall include all locations that store, process, connect to, or provide access to Department data, whether at rest or in transit.

The Department reserves the right to request documentation to verify compliance with FedRAMP and FISMA Authorizations including but not limited to:

- System Security Plans
- Cloud Security Alliance ASA certification reports
- SOC audit reports
- Other independent security assessment results
- Artifacts employed in support of cloud provider certification
- Identification of cloud provider supply chain vendors and associated contracts as applicable

Department Templates

The DUA, BAA, SSP Attestation, *Moderate-Plus Security Controls Baseline* SSP templates, and POA&M templates will be provided to the selected bidder/vendor by the Department upon contract award.

Legal and Regulatory Compliance

Bidders/vendors should familiarize themselves with all applicable New York State and federal laws, regulations, policies, and standards for IT systems that transfer, process, or store Department data.

Finally, systems addressed by this RFP may be subject to security and regulatory requirements including, but not limited to:

- New York State ITS policies and standards
- The Health Insurance Portability and Accountability Act (HIPAA) Omnibus Final Rule
- All applicable State and federal laws and regulations related to privacy protections
- NY Social Services Law (§) 367-b(4) of the
- New York State Social Services Law Section 369(4)
- Article 27-F of the New York Public Health Law (HIV/AIDS)
- 18 NYCRR 360-8.1
- NY Civil Rights 79-L
- Social Security Act, 42 USC 1396a(a)(7)
- Federal regulations at 42 CFR 431.302 and 42 CFR Part 2 (Substance Use Disorder)
- NYS Mental Hygiene Law Section 33.13
- 45 CFR Parts 160 and 164 (Privacy related sections for HIPAA)