



**Department
of Health**

Overview for Managed Long Term Care (MLTC) Plans: Social Adult Day Care (SADC) Compliance Review Process

**NEW YORK STATE DEPARTMENT OF HEALTH
MLTC PLAN SURVEILLANCE
SADC COMPLIANCE TEAM**

November 19, 2025

2026 COMPLIANCE REVIEWS

AGENDA



The Compliance Review Process

An SADC Story-Inside a Compliant SADC

Calendar Year 2025 Highlights

Looking Ahead

Available Resources

Questions and Answers

THE COMPLIANCE REVIEW PROCESS



Department
of Health

FROM SCHEDULING TO REVIEW COMPLETION

Schedule SADC
Review

Preliminary
Documentation
Request

SADC
Compliance
Review
Conducted

Remediation
and Proof of
Remediation

Determination
Letter

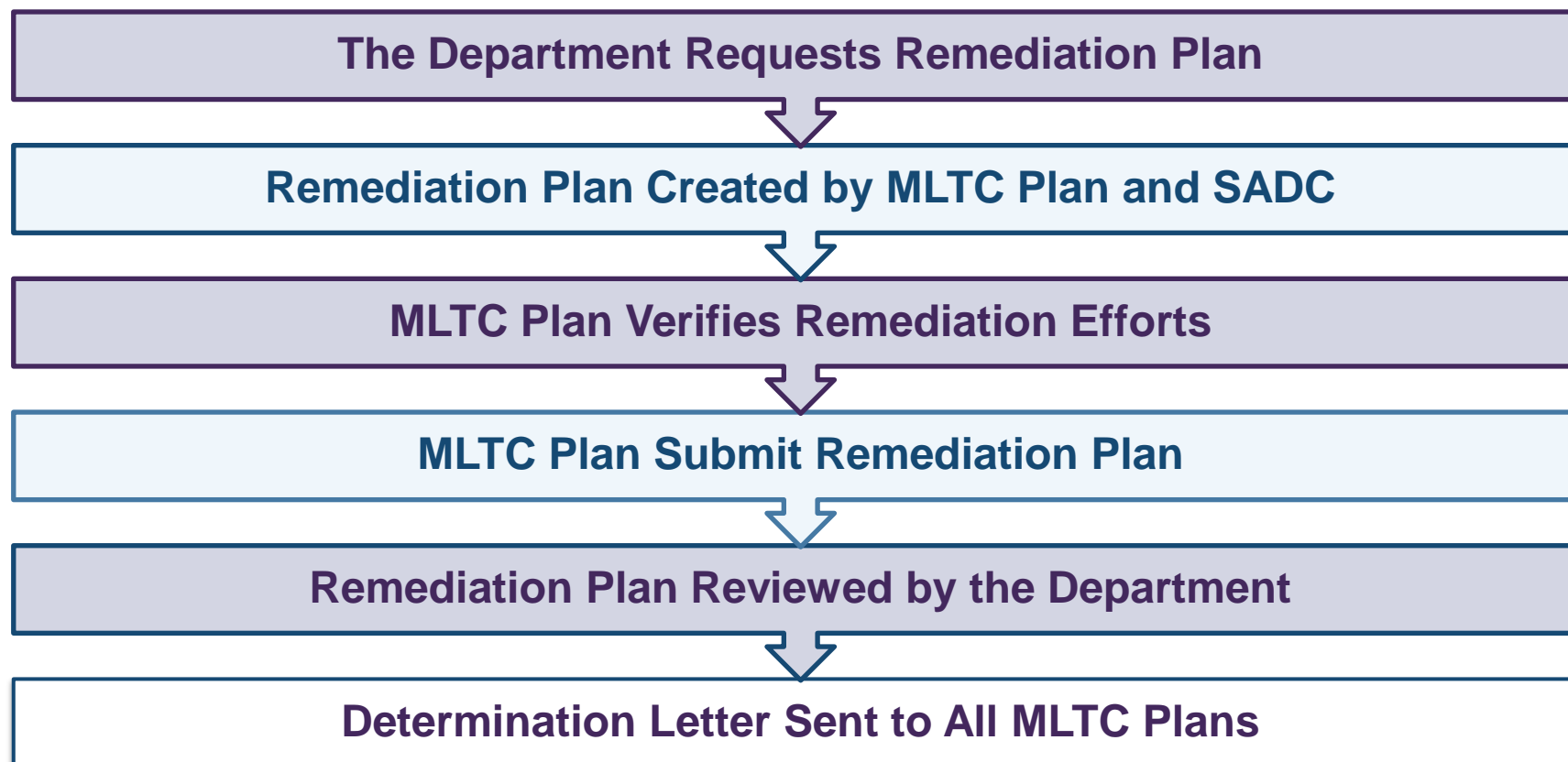


Department
of Health

REMEDIATING NOT COMPLIANT FINDINGS

- REMEDIATION PLAN PROCESS
- REMEDIATION PLAN COMPLETION
- PROOF OF REMEDIATION PROCESS

REMEDIATION PROCESS



EDITS TO REMEDIATION PLAN NEEDED

Coconut Social Adult Day Care HCBS Settings Compliance Remediation Plan

New York State Department of Health Determination

Guidance: In the section below, each field must be answered for areas the New York State Department of Health identified as not in compliance with the HCBS Setting Final Rule. Remediation action plans should be specific and describe exactly how the SADC site will be brought into compliance. The plan must also include a target start and end date, and the SADC individual responsible for ensuring the listed action is executed.

Please see the "Reference Table" tab for some examples and possible remediation actions.

Compliance Criteria	Determination	Determination Details	Remediation Action Plan to Bring SADC into HCBS Compliance	Target Start Date	Target End Date	SADC Responsible Individual(s)
Does the SADC support members who seek opportunities and activities within the greater community?	Not Compliant	There is no documentation available to make members aware of these opportunities and how to make their interest in opportunities known to the SADC for further support.	We tell the members they can go out and we inform them of the activities. Members always know we are here to support them.	May 5, 2026		STAFF

ACCEPTABLE REMEDIATION PLAN

Coconut Social Adult Day Care HCBS Settings Compliance Remediation Plan

New York State Department of Health Determination

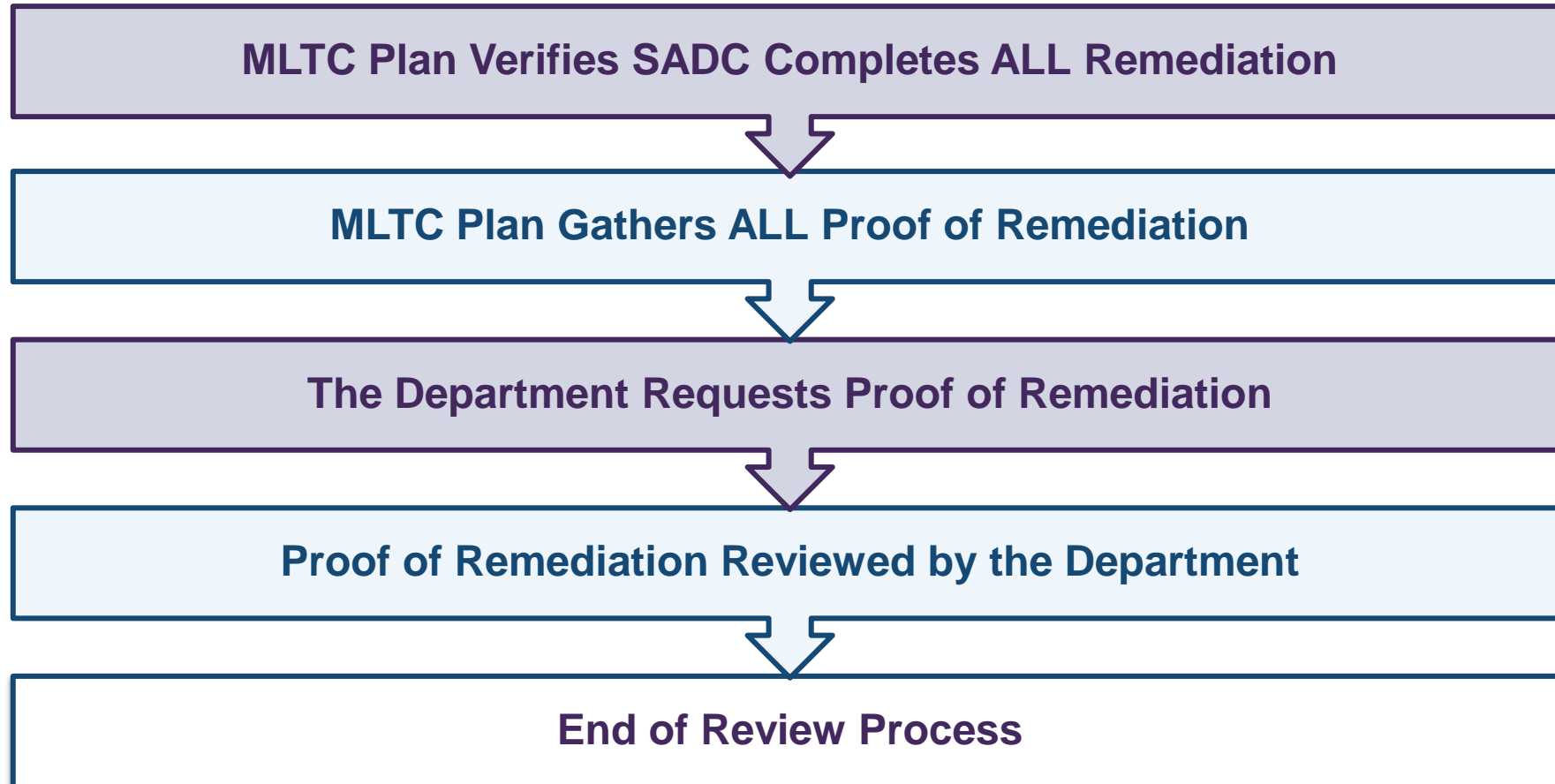
Guidance: In the section below, each field must be answered for areas the New York State Department of Health identified as not in compliance with the HCBS Setting Final Rule. Remediation action plans should be specific and describe exactly how the SADC site will be brought into compliance. The plan must also include a target start and end date, and the SADC individual responsible for ensuring the listed action is executed.

Please see the "Reference Table" tab for some examples and possible remediation actions.

Compliance Criteria	Determination	Determination Details	Remediation Action Plan to Bring SADC into HCBS Compliance	Target Start Date	Target End Date	SADC Responsible Individual(s)
Does the SADC support members who seek opportunities and activities within the greater community?	Not Compliant	There is no documentation available to members to make them aware of these opportunities and how to make their interest in opportunities known to the SADC for further support.	The SADC will have updated postings on the community board about opportunities and activities within the greater community. The posting will also include information that members can approach any SADC staff to request support in pursuing these opportunities.	May 5, 2026	May 15, 2026	John Doe



PROOF OF REMEDIATION



AN SADC STORY - INSIDE A COMPLIANT SADC



Department
of Health

AN SADC STORY



Food and Drinks
Available



SADC Support



Community
Integration



Individualized
Activities



Employment and
Volunteer
Opportunities



Visitors Anytime



Socialize Freely



Private Space



Department
of Health

CALENDAR YEAR 2025 HIGHLIGHTS



Department
of Health

NOTABLE AREA OF IMPROVEMENT



Improved collaboration and communication with MLTC plans led to smoother and quicker compliance reviews.

OPPORTUNITY AREAS



Department
of Health

MEMBER AWARENESS OF RIGHTS

How do members know they have a **right to give feedback on the SADC?**

How do members know they can choose **which activities to participate in?**

How do members know about their **rights to privacy, dignity, and respect?**



How do members know they have a **choice in who provides their services?**

How do members know they can **attend services, events and appointments in the community?**



Department
of Health

MEMBER AWARENESS OF AVAILABLE SUPPORTS



Do members know how to request the following?

- Access to private space.
- Alternate meals.
- Changes to their SADC PCSP.
- To attend activities and services in the community.
- Support with competitive employment or volunteer opportunities.

PERSON CENTERED PLANNING-MEMBER FOCUSED



"I get to set my own goals that I feel are important for me."

Led By Member

Align Member Goals
and Activities

MLTC Plan Shares
Member PCSP

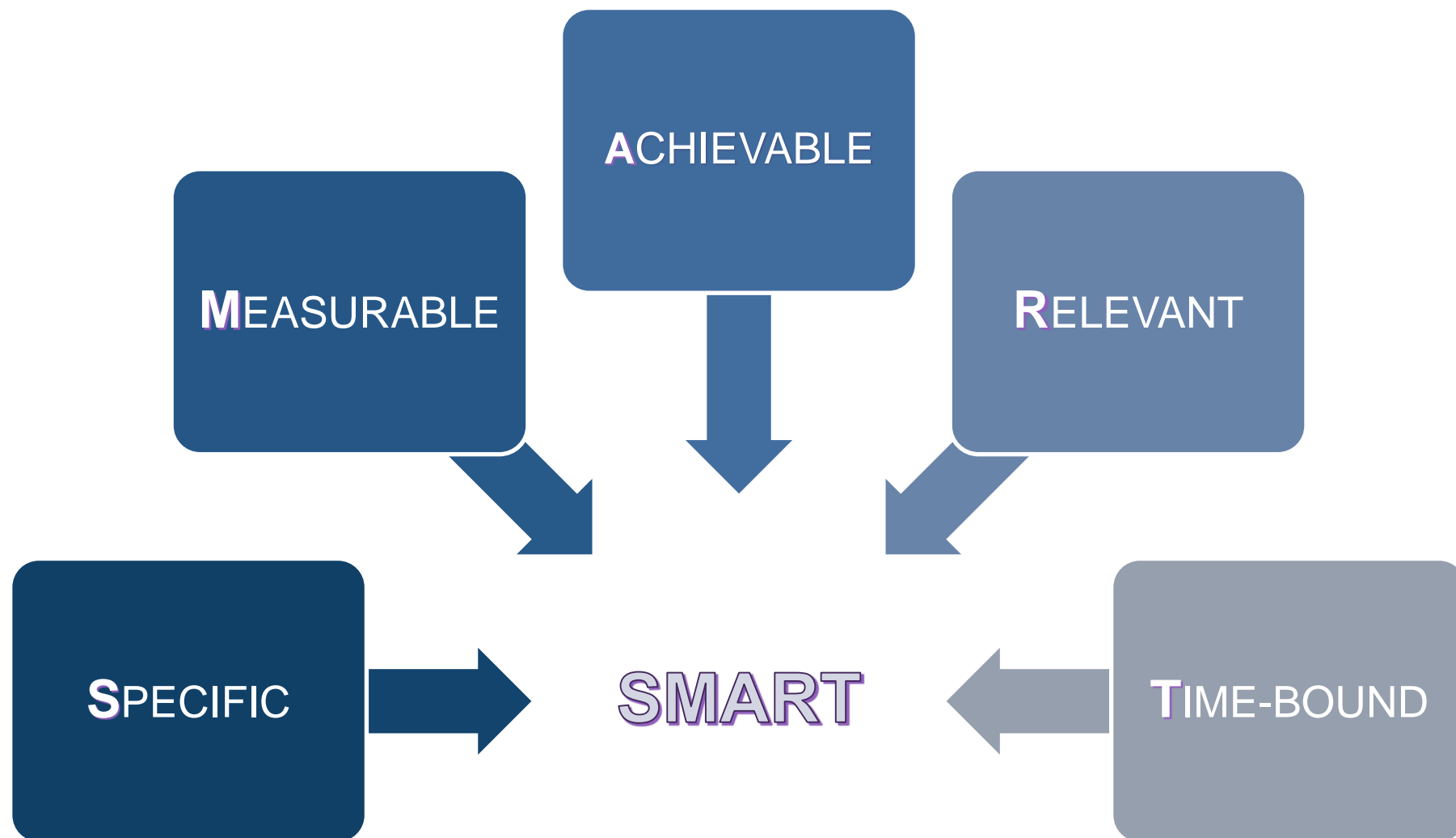
SMART Goals

Reminder: Documentation is necessary to capture members' progress and preferences.



Department
of Health

WHAT IS A SMART GOAL?



Department
of Health

[Resources for SADC Person Centered Planning](#)

LOOKING AHEAD



Department
of Health

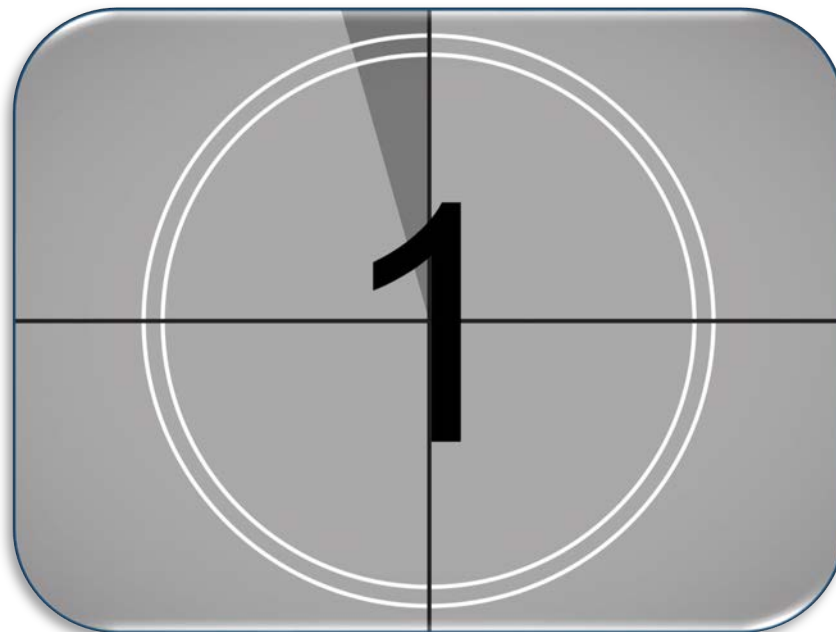
**NEW FOR
2026**

UPDATED REMEDIATION PLAN



**Department
of Health**

UPDATED REMEDIATION PLAN



All non-compliant PCSP questions can now be addressed with only one response.

UPDATED REMEDIATION PLAN ACTION STEP

Coconut Social Adult Day Care HCBS Settings Compliance Remediation Plan

Guidance: In the section below, if the determination is "not compliant" or "partially compliant" complete the field **Remediation Action Plan to Bring SADC into HCBS Settings Compliance** with the action plan(s) that will describe exactly how the SADC site will be brought into compliance. Include the **Target Start Date**, **Target Completion Date** and **SADC Responsible Individual(s)**.

A detailed list of findings from the reviewed Person Centered Service Plans (PCSP) can be found in the section below labeled "New York State Department of Health Determination Details." The combined question determination column can be sorted to quickly refer to any compliance or noncompliance across the reviewed PCSPs.

Determination	Remediation Action Plan to Bring SADC into HCBS Settings Compliance	Target Start Date	Target Completion Date	SADC Responsible Individual(s)



Department
of Health

New York State Department of Health Determination Details

CMS HCBS Settings Standard	CMS HCBS Settings Standard Description	Compliance Criteria	Combined Question Determination	PCSP1 Determination	PCSP1 Determination Details	PCSP2 Determination	PCSP2 Determination Details	PCSP3 Determination	PCSP3 Determination Details



Department
of Health

NEXT STEPS



Department
of Health

THE JOURNEY TO COMPLIANCE CONTINUES



PREPARE FOR CALENDER YEAR 2026 REVIEWS

2026 Reviews Are Coming



Complete Outstanding 2025 Items

Ensure Adequate MLTC Plan Resources

Notify SADCs of Reviews

Scheduling Requests Begin in November

SADC Compliance Reviews Begin in January



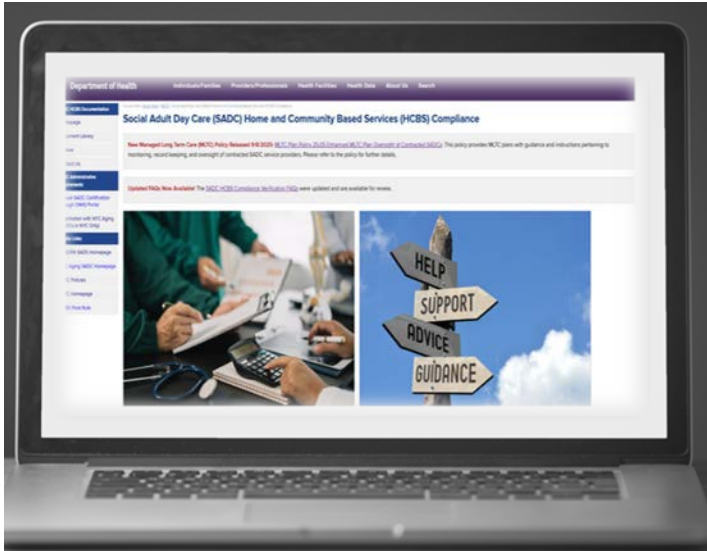
Department
of Health

AVAILABLE RESOURCES



Department
of Health

RESOURCES: SADC HCBS COMPLIANCE WEBSITE



[SADC HCBS Compliance](#)



[Person Centered Planning](#)



[SADC/SADS PCSP Template](#)



[Guidance on Compliance Review Questions](#)



[Compliance Verification FAQs](#)



[SADC HCBS Community Integration](#)



[Compliance Reviews Roles and Responsibilities](#)



Department
of Health

SADC/SADS PCSP TEMPLATE UPDATED

Updated SADC/SADS Person Centered Service Plan (PCSP) Template and Corresponding User Guide

Updates Made for Clarity and Ease of Use

See the 10/31/25 Notice
for Update Details

[Link to Notice](#)

[SADC/SADS PCSP Template](#)

[Corresponding User Guide](#)

For **IMMEDIATE** Use



Department
of Health



**Department
of Health**