



Department
of Health

Restriction Exception (R/E) Code Compatibility with the MAPP HHTS and NH/AL exceptions

April 2025

Restriction Exception (R/E) Code Compatibility with the Health Home Program List

Restriction Exception (R/E) Code Compatibility with the Health Home Program

You are Here: [Home Page](#) > [Medicaid Health Homes](#) > [Health Home Billing](#)

Medicaid Health Homes

Home

Find a Health Home

Frequently Asked Questions

Health and Recovery Plan (HARP)/Managed Care Transition

Health Homes Serving Children (HHSC)

Children's Medicaid System Transformation

1915c Children's Waiver and 1115 Waiver

Health Homes Serving Individuals with Intellectual and/or Developmental Disabilities (HHIDD)

Lead Health Home Resource Center

Managed Care Organizations

Medicaid Analytics Performance Portal (MAPP)

Performance Management

Policy and Standards

Reimbursement/Billing

Special Populations

Health Home Billing

Below are links to most recent resources

Please call Computer Science Corporation (CSC) at (800)343-9000 with questions regarding denied claims

[Expand All](#) [Collapse All](#)

Rates and Billing Guidance

Health Homes Serving Adults and Children

- Current Health Home Rate Codes - [\(Web\)](#) - [\(PDF\)](#) - January 2025
- Restriction Exception (R/E) Code Compatibility with the Health Home Program - [\(Web\)](#) - [\(PDF\)](#) - September 2024**
- Medicaid Managed Care Plan Claim Denials for Health Home Services - [\(Web\)](#) - [\(PDF\)](#) - October 2019
- Medicaid Managed Care Plan Billing and Payment Protocol for Health Home Services - [\(Web\)](#) - [\(PDF\)](#) - Effective: July 1, 2018, Revised: March 2019
- Billing and Documentation Guidance for Health Home Adult Rates with Clinical and Functional Adjustments - [\(Web\)](#) - [\(PDF\)](#) - Effective: May 1, 2018, Revised: March 2024
- Temporary Health Home Billing Procedures - [\(Web\)](#) - [\(PDF\)](#) - Effective December 1, 2016
- Outreach Reprogramming Guidance - [\(Web\)](#) - [\(PDF\)](#)

Health Home Serving Adults

- Health Home Plus (HH+) Rates and Guidance - [\(PDF\)](#) (external link)

Health Home Serving Children (HHSC)

- UPDATE HHSC Level of Support (Acuity) Adjustment FINAL - [\(Web\)](#) - [\(PDF\)](#) - January 2025
- UPDATED High Fidelity Wraparound (HFW) Core Requirements within HHSC Program - [\(Web\)](#) - [\(PDF\)](#) - January 2025
- Announcing HHSC Revised Rates and High-Fidelity Wraparound (HFW) Rate Implementation - [\(Web\)](#) - [\(PDF\)](#)
- UPDATED HHSC Care Management Core Services Requirements and Billing Policy HH0017 November 2024 - [\(Web\)](#) - [\(PDF\)](#)

To see what Member Restriction Exception (R/E) codes are compatible with the Health Home Program:

- Go to **Health Home** website
- click on **Reimbursement/Billing**
- Expand the **Rates and Billing Guidance** section
- find the document titled **Restriction Exception (R/E) Code Compatibility with the Health Home Program**

Health Home website:

https://www.health.ny.gov/health_care/medicaid/program/medicaid_health_homes/

Reimbursement/Billing:

https://www.health.ny.gov/health_care/medicaid/program/medicaid_health_homes/billing/index.htm

Restriction Exception (R/E) Codes and Segments

April 2024

Incompatible RE codes & Segments via File

For the system to allow creation or modification of a segment using the Tracking Segment Upload file the member *cannot* have an RE code listed as incompatible with Health Home program on the ***Restriction Exception (R/E) Code Compatibility with the Health Home Program*** list if that RE code's effective dates overlap with the member's segment begin/end dates. Members' active RE codes (updates each weekend) are available in the Member CIN Search and you can review a member's eligibility as of a certain date using ePACES.

Exceptions (discussed on later slides)

- The system will allow segment creation/modification for members with an NH code online (on screen in HHTS), as members with the NH code is only allowed during transition.
- The system will allow segment creation/modification for members with an AL code using the file, but the system will only allow a provider to add a billing instance for a member with the AL code if that member is in the Adult Home program.

Incompatible RE & File Segments: *Dated Examples*

Member has an RE code of 25 with an effective date of 1/1/24 – 12/31/24.

Segment Creation

- If a HH submits a **Record Type** of 'C' on a Tracking Segment Upload file with a begin date of 12/1/24, the record will be rejected with **Error Reason Code '040' *Invalid RE Code found*** because the member's RE code 25 is incompatible with the Health Home program and overlaps at least a day with the new segment's dates.
- If a HH submits a **Record Type** of 'C' for this member on a Tracking Segment Upload file with a begin date of 1/1/25, the record will be accepted because there is no overlap between the incompatible RE code dates and the segment dates.

Segment Modification

- Member has an active segment with a 2/1/23 begin date. HH submits a **Record Type** of 'M' on a Tracking Segment Upload file with an end date of 1/31/24, the record will be rejected with **Error Reason Code '040' *Invalid RE Code found***. If the HH submits **Record Type** 'M' with an end date of 12/31/23, the segment will be accepted.

Incompatible RE codes & Segments via Screen

If a segment is created/modified online and the member has an RE code that overlaps with the segment and is incompatible with the Health Home program (excluding NH/AL), the errors listed below are used by the system to block the creation/modification of the segment.

Code	Message Text
MAPP_ERR_079	The member's R/E Code < Code/Description > is not compatible with the Health Home Program.
MAPP_ERR_338	The member's R/E Code < Code/Description > is not compatible with the Health Home Program. For additional information, please see the Restriction Exception (R/E) Codes document posted to the "Health Home Medicaid Updates, Policy and Provider Manual" section of the Health Home website.

NH Code Exception *Segment Creation*

- Members with an NH RE code that are transitioning into or from an institutional setting can be enrolled in the HH program during that transition.
- The system does not allow a member with an NH RE code that overlaps with a potential segment to be created/modified using the Tracking File Segment Records Upload. These records will be rejected with **Error Reason Code '040' Invalid RE Code found**
- To create a new segment for a member with an NH RE that overlaps with the segment you are creating you must use the *Create Referral/Segment (21 & older)* or the *Children's HH Referral Portal* quick link to create the segment.
- The system will allow the provider to create the segment on the screen, but it will display a warning message that the member has an NH RE code and can only be enrolled while transitioning into/out of the nursing home setting.

AL Code Exception *Segment Creation*

- A segment for a member with an AL RE code (active AL as of segment start date) can be created either online or through file.
- Online the provider will receive a message warning that the member has an invalid principal provider code, but the system will allow the provider to create the segment.
- While a provider can enroll a member with an AL code, that member is only eligible to bill if they qualify for the *Adult Home rate (see more in the billing section)*

Incompatible RE codes After Segment Creation

Sometimes a member acquires an RE code that is incompatible with the Health Home program after their segment is created. In that situation, the member's HH/CMA is responsible for disenrolling the member from the HH program or working with them to end date the incompatible RE code.

The system notifies HHs/CMAs of member segments with an incompatible RE code by adding them to the Segments Potentially Incompatible with HH Services download file. Filter the **Medicaid Recipient Exemption Code Incompatible** field to 'Y' and see look to the following fields for information about the member's incompatible RE code:

- **Incompatible Medicaid Recipient Exemption Code**
- **Medicaid Recipient Exemption Code Begin Date**
- **Medicaid Recipient Exemption Code End Date**

Please note that members with codes NH/AL will be included in this file even though they may be eligible for HH services in certain situations.

Restriction Exception (R/E) Codes and Billing

April 2024

Incompatible RE codes & Billing

The system will block billing for a member enrolled in the Health Home program that acquires an incompatible RE code after enrollment. Providers are notified of these blocked billing months within the Billing Support Download (BSD) file.

In the BSD file, unbillable member months are flagged using the **Validation Code/Validation Code Description** fields on the Billing Support Download (BSD) file. Member billing instance service dates that fall within the member's incompatible RE code will be flagged on the BSD with code G *Member's R/E Code on the service date is not compatible*

While there are some situations in which members with an NH or AL code can be billed (see next slides) these members will always receive **Validation Code G** as the system cannot predict if the member falls into the situations where they are allowed to add the billing instance.

NH Code Exception *Billing*

- A provider can bill the HH rates for a member with an NH RE code for the month of admission (month the NH code starts), the month of discharge (month NH code ends, and the month prior to discharge (month before the NH code end date).
- A provider will be able to add a billing instance (BI) either online or file upload for the month the NH code is added.
- NH start date is 1/15/18, provider can upload the Billing Support Upload (BSU) for the 1/1/18 DOS and it will be accepted.

Assisted Living (AL) Billing Exception

- Members with an AL RE code are only allowed to be billed if they will bill under the Adult Home rate code.
- BIs for Adult Home class members with an AL code can be submitted using the BSU or online. Users will not get a warning or error in either instances, but if the result of the HML is not 1860, no rate code will be generated for the billing instance, as billing is prohibited in that situation.
- If a member with the AL code is not identified within the tracking system as an Adult Home class member, the system will not allow the provider to complete an HML either on screen or via file. Users will receive an error message stating that the member has an invalid principal provider code for the date of service.