



# Transfer Process between HHSC / C-YES and OPWDD

Health Home Serving Children (HHSC) / Children and Youth Evaluation Services (C-YES) Care Managers,

Developmental Disabilities Regional Field Offices (DDRFO) Children's Liaisons (CL),

CCO Care Managers and Intake Coordinators

#### Agenda

- ✓ Updates to Transfer Process Policy
- ✓ Transmittal Form
- ✓ Overview of Transfer Processes
  - >DOH HHSC / C-YES to OPWDD CCO
  - >OPWDD CCO to DOH HHSC / C-Yes
- √Q&A
- ✓ Appendix
- ✓ Definitions



## Transfer Process

#### Transfer Policy Process

The <u>transfer policy</u> pertains to children and youth receiving either:

- The 1915c Children's Waiver Home and Community Based Services (HCBS)
   OR
- The Office for People With Developmental Disabilities (OPWDD) Comprehensive HCBS Waiver

AND who want to transfer from one waiver to the other.

The purpose of this training is to outline the procedural steps necessary when a child/youth is receiving care management / waiver services from either NYS Department of Health (DOH) or NYS Office for People With Developmental Disabilities (OPWDD) and want to receive care management / waiver services under the other system.

#### Steps for the Process

These steps are required for a transfer from one service system to another:

- 1. Notification of Intent to Transfer
- 2. Opening Conference and Verification of Information
- 3. Selection of Care Management
- 4. Sharing Plan of Care / Life Plan
- 5. Application, Eligibility, and Documentation
- 6. Establishing an Effective Transfer Date
- 7. Transfer Process Confirmation
- 8. System Changes
- 9. Notice of Decision
- 10. Enrollment in the other Waiver

The policy is broken out into two processes even though the steps are the same

#### Please Note

Communication and coordination by all parties is necessary for the individual to have a smooth transition

The process must be followed to ensure no gap in service delivery to the child/youth

### Transfer Policy Process – What Has Changed?

- Transmittal Form <a href="mailto:childrens\_waiver\_transmittal\_form.pdf">childrens\_waiver\_transmittal\_form.pdf</a>
- CAS / CANS Requirement for children / youth transferring into OPWDD Waiver
- Child / youth and family involvement in final transfer meeting
- Coding clarification for child / youth transferring into a certified residence



## **Transmittal Form**

#### Overview

- The form captures required information for when a person is seeking a potential transfer between systems:
  - o From DOH to OPWDD
  - o From OPWDD to DOH
- The form also captures information when a person is seeking only OPWDD eligibility / LCED for Children's Waiver target populations:
  - DD / Medically Fragile
  - o DD / Foster Care
- For any transfer from waiver programs or Health Home programs, the Transmittal Form required for all Children's Waiver / Health Home and OPWDD Waiver / CCO Health Home transfers must be filled out by the person's current care manager.

#### Sections

- Demographic Information the persons and their Parent(s), Guardian(s), and Authorized Representative(s)
- Care Management Information
- Reason for Referral to Children's Liaisons
- Medicaid and Insurance Information
- Current System R/RE Coding and Identifiers
- Request for Potential Enrollments
- Waiver Services
- State Plan Services



# Transfer from: DOH HHSC / C-YES to OPWDD CCO

#### Step 1: Notification of Intent to Transfer

- HHSC / C-YES notifies the DDRFO CL and Lead Health Home in the Health Commerce System (HCS) Secure File Transfer that the individual is interested in services under OPWDD's Waiver.
- This request must include the following:
  - Individual's First and Last Name
  - CIN (Medicaid number)
  - o OPWDD's TABS ID (if known)
  - Date of Birth (DOB)
  - Anticipated Transfer Date (when the person would like to transfer by)
  - Reason(s) for Transfer
  - Name of CCO (if known at the time of initial notification)
- The transmittal form required for all Children's Waiver / Health Home and OPWDD Waiver / CCO Health Home transfers must be filled out by the person's current care manager.

#### Step 2: Opening Conference & Verification of Information

The DDRFO CL and HH/C-YES Care Manager will work to schedule a phone conference with the lead Health Home/HHCM/C-YES to provide an overview of the next steps. The individual and the parent/guardian/authorized representative should be encouraged to participate in the call.

- a) The CL must confirm that there is an existing TABS ID for the person and enter/validate the person's TABS ID in the UAS-NY.
- b) If there is no OPWDD ICF-IID eligibility established and a current Level of Care Eligibility Determination (LCED), the DDRFO CL works with the HH/C-YES Care Manager to coordinate with the DDRFO and assist with the DD eligibility and LCED documentation.
- c) If there is an Environmental Modification, Vehicle Modification, or Adaptive and Assistive Technology request in any stage this must be discussed.

#### Step 3: Selection of OPWDD Care Management

- The DDRFO CL will provide HHCM/C-YES information on <u>available CCOs</u> in the child/youth's region and coordinate with the DDRFO Care Coordination Support Liaisons (CCSLs).
- The HH/C-YES Care Manager will advise the child/youth/family of available CCOs, as well as the need to choose a CCO for care management services through OPWDD to be able to transition to the OPWDD Waiver.
- Once a CCO is chosen by the child/youth/family, the CCO will begin the processes for CCO enrollment.

## Step 4: Sharing of the Individual's Current Plan of Care

- The HH/C-YES Care Manager will provide the child/youth's current Health Home Plan of Care (POC) inclusive of the HCBS to the CCO, current services, and providers. The HH/C-YES Care Manager will also provide a list of the OPWDD HCBS Waiver services being requested.
- HH/C-YES Care Manager will then notify the interdisciplinary team members (IDT), including the HCBS providers of the family's choice to transition to the OPWDD Waiver and CCO Care Management.

### Step 5: Application, Eligibility, and Documentation

- Waiver Application & Documentation of Choices Form: Completed by the CCO
- Request for Service Authorization (RSA): Completed by the CCO
- In-Process Life Plan: Completed by the CCO
- Current Level of Care Eligibility Determination (LCED): HHSC/C-Yes care manager sends supporting documentation via secure file transfer (for initial and annual determinations)
- Coordinated Assessment System (CAS) or Child and Adolescent Needs
   (CANS): Completed as applicable by age. DDRFO CL will request assessments as
   needed and HHSC/C-Yes care manager will assist as needed.

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### Step 6: Establishing Effective Date of Transfer

- DDRFO CL will schedule a phone conference with the HH/HHCM/C-YES, CCO Intake Coordinator, any other DDRFO staff (if applicable), and the child/youth/family.
- The following must be reviewed:
  - Confirmation of ICF-IID LCED determination
  - OPWDD Waiver approval
  - Signed Consents
  - Target Population (indicates eligibility for Children's Waiver only)
  - The HCB service(s) under the Children's Waiver
  - Desired OPWDD Waiver services and units
  - Reason(s) why the change is being pursued (e.g., choice, no longer meets criteria for the Children's Waiver, aging out of Children's Waiver).
  - End date for HH/C-YES and Children's Waiver (month/day/year)
  - Start date for CCO/OPWDD Waiver (month/day/year)

The effective date of the transfer must be a future date and must be the first of the month.

#### Step 7: Transfer Process Confirmation

DDRFO CL will notify the Central Office CL Coordinator via the HCS Secure File Transfer that the child/youth is ready to officially transfer to OPWDD's Waiver.

Within this notification, the CL must send to CL Central Office the date of the Final Meeting held between the CL, the HH/HHCM/C-YES, CCO Intake Coordinator, and the child/youth family.

**Please note:** Email subject line must read as follows: (Transfer to OPWDD) (Month, Year) Region (1-5) (DDRFO identifier (First Initial, Last Name))

- Name, DOB, CIN, and TABS ID
- Name of HH and CMA or C-YES
- Name of CCO
- Confirmation of ICF-IID LCED determination
- OPWDD Waiver approval
- Consents
- Target Population
- The HCB service(s) under the Children's Waiver

- Reason(s) why the change is being pursued (e.g., choice, nolonger meets criteria for the Children's Waiver, aging out of Children's Waiver).
- Desired OPWDD Waiver services and units
- End date for HHSC/Children's Waiver month/day/year
- Start date for CCO month/day/year
- Medicaid eligibility date
- Is Child's Medicaid eligibility considered "Family of One"?
- The coding that the child/youth has on their record.

### Step 8: System Changes to Implement the Transfer

The Children's Waiver codes will end on the last day of the month, and the OPWDD HCBS Waiver codes will start on the first of the following month.

- I. Children's Care Management (A codes): HHCM completes the steps outlined by the Lead Health Home's policy and procedures manual for member disenrollment.
- II. Children's Waiver (K codes): After DOH Capacity Management confirms notification of the transfer request; they will submit a request to end the R/E codes (K Codes) for the agreed upon transfer date. (This can be a future date, e.g., effective the last day of the month of discharge.)
- III. CCO (I codes): Coding Changes for the Transfer into the OPWDD Comprehensive Waiver CCO submits the CCO enrollment form (CCO 1) in CHOICES/TABS for CCO enrollment to be effective the first of the month after submission of the CCO 1 enrollment form in CHOICES.
- IV. OPWDD HCBS Waiver (R/E Code 46): DDRFO HCBS waiver coordinator processes waiver enrollment Notice of Decision (NOD) effective the agreed upon date and sends the NOD to the OPWDD local Financial Benefits & Entitlements Assistance & Management (FBEAM).

## Step 9: Notification of Decision / Determination (NOD)

The appropriate Notice of Decision/Determination must be sent to inform the child/youth and/or their parent, guardian, or legally authorized representative, and other identified persons of the enrollment, disenrollment, or ineligibility status. The following is required:

#### If Transfer is Eligible

- a. HHCM/C-YES sends NOD regarding the disenrollment from Health Home/CYES and Children's Waiver disenrollment with additional copy to DDRFO CL.
- b. HHCM/C-YES will notify all HCBS providers of the child's disenrollment from the Children's Waiver.
- c. DDRFO Waiver Coordinator sends NOD regarding the enrollment in the OPWDD Waiver with additional copy to the DDRFO CL.
- d. CCO sends NOD regarding the individual's enrollment in the CCO with copy to the DDRFO CL.
- e. The DDRFO CL will send the OPWDD Waiver NOD to the HHCM/C-YES.

#### If Transfer is **Not** Eligible

- The DDRFO CL will send the child/youth and/or their parent, guardian, or legally authorized representative the appropriate NOD.
- b. HHCM/C-YES will follow up with the child/youth/family to see if they will continue in care management and the Children's Waiver.

#### Step 10: Enrollment Made Effective

- The child/youth is now enrolled in the OPWDD Waiver and the CCO is now providing Care Management.
- Coding will end for HHSC and Children's Waiver the last day of month, and new coding will be started at the beginning of month for CCO/HH and OPWDD waiver.
- If the individual is moving to an OPWDD certified residence from the Children's Waiver, the Children's Waiver coding must end the day before the date the individual moves into the new residence. For systems coding purposes and as a best practice, this is most easily accomplished when the individual moves into the certified residence on the 1st of the month.

## Step 10: Enrollment Made Effective (Cont.)

- If the residence is an Intermediate Care Facility (ICF)
  - The A and K codes must be ended the day prior to the child's admission to the chronic care facility. If the HH/CMA are unable to retroactively end date the codes, they should end date as soon as possible, and the CL will bring this to the Central Office CL to work with Capacity Management to backdate the codes.
  - For systems coding purposes and as a best practice, this is most easily accomplished when the individual moves into the ICF on the 1st of the month.
- If the residence is an Individualized Residential Alternative (IRA) or Family Care Home (FCH)
  - The A and K codes must be end dated the day prior to the child's/youth's admission to
    the congregate care facility. If the HH/CMA are unable to retroactively end date the codes,
    they should end date as soon as possible and the CL will bring the issue to Central Office to
    work with DOH to backdate the codes.
  - For systems coding purposes and as a best practice, this is most easily accomplished when the individual moves into the IRA or FCH on the 1st of the month.

**Exceptions**: When there is an emergency admission to an Intermediate Care Facility (ICF) or an IRA, then manual coding adjustments need to be made by DOH and OPWDD. Notifications to the CCO/HHSC need to be made to end care management services.



# Transfer from: OPWDD CCO to DOH HHSC / C-YES

#### Step 1: Notification of Intent to Transfer

- The child/youth's CCO Care Manager notifies the DDRFO CL that the member is in terested in exploring opportunities with the Children's Waiver.
- This request must include the following:
  - Child / Youth's First and Last Name
  - CIN (Medicaid number)
  - OPWDD's TABS ID (if known)
  - o DOB
  - Anticipated Transfer Date (when the person would like to transfer by)
  - Name of Chosen HHSC or C-YES
  - o Reason why the change is being pursued (e.g., choice, foster care)
- The transmittal form required for all Children's Waiver / Health Home and OPWDD Waiver / CCO Health Home transfers must be filled out by the person's current care manager.

#### Step 2: Opening Conference & Verification of Information

The DDRFO CL will schedule a phone conference with the CCO to provide an overview of the next steps. The child/youth and the parent/guardian/authorized representative should be encouraged to participate in the call.

- The CCO will need to work with the child/youth and their parent, guardian or legally authorized representative by providing information about the Children's Waiver and the Health Home Serving Children's program.
- The CCO will work with the HHSC to gather the necessary consents from the child/youth and the parent/guardian/authorized representatives as well as supporting documentation for the HH to conduct the HCBS/LOC eligibility determination.
- If there is an Environmental Modification, Vehicle Modifications, or Adaptive and Assistive Technology request in any stage this must be discussed (this should also be listed on the Transmittal Form required <u>for all Children's Waiver/Health Home and OPWDD Waiver/CCO Health Home transfers</u>).

#### Step 3: Selection of Health Home (HH) / C-YES and CMA

- DDRFO CL will provide CCOs information on available HHs.
- The DDRFO CL and CCO will advise the member regarding the choices of available HHs/C-YES to be able to transition to the other Waiver.
- If a HH/C-YES is chosen by the member and/or parent/guardian/legally authorized representative, the HH/C-YES will assist in choosing a CMA. Once a CMA is chosen, they will begin the processes for HH enrollment.

## Step 4: Sharing of the Individual's Current Life Plan

 The CCO will provide the member's current Life Plan inclusive of current services a nd providers. The CCO will also provide a list of the Children's Waiver services being requested.

 The CCO will notify the Care Planning Team (aka IDT) inclusive of HCBS providers of the family's choice to transition to the Children's Waiver

#### Step 5: Application, Eligibility, and Documentation

The CCO and DDRFO CL, who has current oversight, will assist where necessary, in gathering information that is needed for the Children's Waiver eligibility and enrollment as below.

#### For a child and youth with ICF-IID LCED

The CCO and HHSC / C-YES care manager will coordinate to send the required information and documentation to complete the children's Waiver HCBS/LOC eligibility determination to the CL.

- For DD/Medically Fragile Target Population
- For DD in Foster Care Target Population
- For SED Target Population

#### For a child and youth ICF-IID LCED Determined Not Eligible

CCO must provide the child/youth and/or parent/guardian/legally authorized representative information on the HHSC program and the Children's Waiver. CCO will assist with referring the Child/Youth to the selected HHSC.

## Step 6: Establishing Effective Date of Transfer

If ICF-IID LCED eligibility is met, DDRFO CL will schedule a phone conference with the HH/HHCM/C-YES, CCO Intake Coordinator, any other DDRFO staff (if applicable).

- a. Confirmation of ICF-IID LCED determination
- b. Transmittal Form required for all Children's Waiver/Health Home and OPWDD Waiver/CCO Health Home transfers
- c. Signed Consents
- d. Target Population
- e. The HCB service(s) under the OPWDD Waiver
- f. Desired Children's Waiver services
- g. Reason(s) why the change is being pursued (e.g., choice, no longer meets criteria for the OPWDD Waiver i.e., provisional eligibility)
- h. Start Date for Health Home / C-YES and Children's Waiver (Month/Day/Year)
- i. End Date for CCO / OPWDD Waiver (Month/Day/Year)

#### Step 7: Transfer Process Confirmation

- a. DDRFO CL Notification to OPWDD Central Office: DDRFO CL will notify OPWDD Central Office CL Coordinator via the HCS Secure File Transfer that the child/youth is ready to officially transfer from OPWDD's Waiver to the DOH Children's Waiver.
- b. OPWDD Central Office will forward the notification to Capacity Management via Secure File Transfer: to capacitymanagement@health.ny.gov
- c. Notification/Directions from Capacity Management and OPWDD Central Office: Capacity Management will confirm/deny/request further information to OPWDD Central Office.
- d. DDRFO Confirmation of Approval or Denial: DDRFO transfer process confirmation or denial notification must be sent to the HH/HHCM/C YES, CCO Intake Coordinator and any other DDRFO staff (if applicable).

## Step 8: System Changes to Implement the Transfer

The completion of the transfer involves system changes (R/RE Codes) to allow billing and payment under the new HH care management and Children's Waiver. The transfer between care management and HCBS Waiver services requires coding changes in the eMedNY system and additional coding in TABS.

The OPWDD HCBS Waiver codes will end on the last day of the month, and the Children's Waiver codes will start on the first of the following month.

- I. Coding Changes for the Transfer from the OPWDD Comprehensive Waiver are effective the last day of the following month: CCO submits CCO 2 in CHOICES/TABS for CCO disensollment which will result in the ending of the CCO code in eMedNY.
- II. Children's Care Management (A codes): HHCM completes the steps outlined by the Lead Health Home's policy and procedures manual for member enrollment. The Lead Health Home will begin the enrollment/MAPP segment (R/E A Codes) effective in eMedNY per the agreed upon transfer date. (This can be a future date, e.g., effective the first day of the month and is system automated).
- III. Children's Waiver (K codes): After the HHCM/C-YES signs and finalizes the HCBS/LOC eligibility determination "Finalization Outcomes" with the determination of "eligible", DOH Capacity Management submits the request to apply R/E codes (R/E K Codes). This will be a future date and will match the date of transfer.

## Step 9: Notification of Decision / Determination (NOD)

The appropriate Notice of Decision must be sent to inform the child/youth and/or their parent, guardian, or legally authorized representative, and other identified persons of the enrollment, disenrollment, or ineligible status. The following is required:

#### If Transfer is Eligible

- a. HHCM/C-YES sends NOD regarding the enrollment in Health Home/C-YES care management and Children's Waiver enrollment.
- b. DDRFO Waiver Coordinator sends NOD regarding the disenrollment in the OPWDD Waiver with additional copy to the DDRFO CL
- c. CCO sends NOD regarding the individual's disenrollment in the CCO with copy to the DDRFO CL.
- d. The DDRFO CL will send the OPWDD Waiver NOD to the individual/family.

#### If Transfer is **Not** Eligible

a. If transfer **ineligible**, the HHCM/C-YES sends ineligible NOD to child/youth and/or parent, guardian, or legally authorized representative and a copy to the DDRFO CL.

#### Step 10: Enrollment Made Effective

 The child/youth is now enrolled in the Health Home Serving Children / C-YES and Children's Waiver

The HHSC/C-YES now provides Care Management to the child/youth.

## Questions





## Appendix

#### **OPWDD Resources**

#### **OPWDD Website**

https://opwdd.ny.gov/

#### **OPWDD Front Door**

https://opwdd.ny.gov/get-started/front-door

#### **CCO Care Management**

https://opwdd.ny.gov/providers/care-management

#### **OPWDD DDRFO Manual**

https://www.health.ny.gov/health\_care/medicaid/redesign/behavioral\_health/children/doc s/ddro\_manu\_al\_for\_childrens\_waiver.pdf

#### OPWDD Children's Liaisons

Region/Counties	Contact Information
<b>Region 1:</b> Chemung, Livingston, Monroe, Ontario, Schuyler, Seneca, Steuben, Wayne, Wyoming, Yates, Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Niagara, Orleans	childrensliaisonregion1@opwdd.ny.gov
<b>Region 2:</b> Broome, Chenango, Delaware, Otsego, Tioga, Tompkins, Cayuga, Cortl and, Onondaga, Oswego, Herkimer, Lewis, Madison, Oneida, Clinton, Essex, Franklin, Hamilton, Jefferson, St. Lawrence	childrensliaisonregion2@opwdd.ny.gov
<b>Region 3:</b> Fulton, Montgomery, Saratoga, Schenectady, Schoharie, Warren, Washington, Albany, Rensselaer, Orange, Sullivan, Rockland, Westchester, Columbia, Dutchess, Greene, Putnam, Ulster	childrensliaisonregion3@opwdd.ny.gov
Region 4: Queens, Kings, New York, Bronx, Richmond	childrensliaisonregion4@opwdd.ny.gov
Region 5: Nassau, Suffolk	childrensliaisonregion5@opwdd.ny.gov

#### Resources and Questions

- HHCMs and HHCMAs should first talk with their Lead Health H
  ome regarding questions and issues they may have.
- Questions, comments, or feedback on Health Homes Serving Children to: <a href="mailto:health.ny.gov">health.ny.gov</a> or contact the Health Home Program at the Department of Health at (518) 473-5569
- Specific Questions/Comments regarding Transition services <u>BH</u> .<u>Transition@health.ny.gov</u>
- Subscribe to the HH Listserv <a href="http://www.health.ny.gov/health\_c">http://www.health.ny.gov/health\_c</a>
   are/medicaid/program/medicaid health homes/listserv.htm



#### NYS DOH Children's Waiver Website

Find guidance, policies, forms, webinars, and more on the NYS DOH 1915c Children's Waiver webpage located at, <a href="https://www.health.ny.gov/health\_care/medicaid/redesign/behavioral-health/children/1115">https://www.health.ny.gov/health\_care/medicaid/redesign/behavioral-health/children/1115</a> waiver amend.htm





## Definitions

#### **Definitions**

- Care Coordination Organization (CCO): Provide care management for people with developmental disabilities.
- Children's Liaison (CL): are the direct OPWDD Regional Office contact staff who track and assist with maintain
  ing the OPWDD ICF/IID LCED for the Department of Health (DOH) Children's Waiver.
- Child and Youth Evaluation Services (C-YES): is a statewide program that determines whether a child/youth (under age 21) is eligible for Home and Community Based Services (HCBS) for children who do not currently have Medicaid or for children who opt-out of the Health Home Serving Children's program.
- **Developmental Disability (DD):** the OPWDD eligibility review process determines if an individual has a develop mental disability and is eligible for supports and services. DD Eligibility must be determined prior to an ICF/IID L CED determination.
- Developmental Disabilities Regional Field Offices (DDRFO): are the regional field offices of OPWDD and are
  where the Children's Liaisons are located throughout the State.
- Health Home Care Manager (HHCM): single contact person creating, documenting, executing and updating the
  individualized child centered Health Home Plan of Care (POC) that integrates medical, behavioral health, rehabil
  itative, long-term care, community and social services, family and peer supports.

## Definitions (cont.)

- Health Homes Serving Children (HHSC): organization responsible for the oversight of HHCMA.
- Health Commerce System (HCS): is a secure online communications and application system operated by the NYS Department of Health.
- Intermediate Care Facilities for Individuals with Intellectual and Developmental Disabilities (ICF-IID): is an optional Medicaid benefit that enables states to provide comprehensive and individualized health care and rehabilitation services to individuals to promote their functional status and independence.
- Level of Care Eligibility Determination (LCED): is an eligibility tool used for the initial determination and annual redetermination that an individual meets the ICF/IID Level of Care.
- Home and Community Based Services / Level of Care (HCBS / LOC): is a tiered assessment
  where multiple factors must be met for child / youth's HCBS / LOC eligibility to be determined. To
  access Children's HCBS, a child / youth must meet target population, risk factors, and functional
  criteria as described in the Children's Waiver. The HCBS / LOC Eligibility Determination is housed
  within the Uniform Assessment System (UAS).
- Notice of Decision (NOD): the determination that is issued to the child/youth/parent/guardian/legally authorized representative regarding their eligibility and their Fair Hearing rights.



## Office for People With Developmental Disabilities



# NEW YORK Department Medicaid of Health