



Department
of Health

Office of
Mental Health

Office of Alcoholism and
Substance Abuse Services

Office of Children
and Family Services

Office for People With
Developmental Disabilities

Children's MRT Subcommittee Quarterly Meeting

September 26, 2018

Agenda

- Review of progress to obtain approvals to implement Children's Design
- Training for Transition to HH
- VFCA updates
- Stakeholder feedback on 1915(c) Children's Waiver
- CFTSS manual
- Billing manual and rates
- Provider designation updates
- Plan readiness review update
- Readiness Funds
- Training and consumer education updates
- Questions and dialogue



CMS Approach for Obtaining Approvals to Implement the Children's Medicaid Design

- Under the approach directed by CMS in June, the State is continuing to work with CMS and collaborate with stakeholders to use State Plan Amendments, and a concurrent 1915(c) and 1115 Waiver to implement the elements of the Children's Design (i.e., new State Plan services, transition to Health Home, aligned array of HCBS, transition of benefits and populations to Managed Care)
- The next several slides provide updates on approval processes as they relate to each primary component of the design and Children's implementation schedule



Review of Progress Made to Obtain CMS Approvals to Implement the Children's Design – State Plan Services

Draft, Preliminary Timeline Subject to CMS Timely Approvals	Preliminary Draft Date
Implement three of Six New State Plan Services* (Other Licensed Practitioner, Psychosocial Rehabilitation, Community Psychiatric Treatment and Supports) *Children and Family Treatment and Support Services (CFTSS)	January 1, 2019
Implement Family Peer Supports State Plan Service	July 1, 2019

- Implementation of State Plan CFTS Services on January 1, 2019 remains on track
 - ✓ As discussed with CMS, earlier this month, the State submitted draft State Plan Amendment to CMS to implement, *OLP, CPST, and PSR on January 1, 2019 and Family Peer Supports on July 1, 2019.*
 - ✓ *The draft SPA includes changes in rates discussed with stakeholders and that have been posted to the State's Website*



Review of Progress Made to Obtain CMS Approvals to Implement the Children's Design – Health Home Transition Plan

Draft, Preliminary Timeline Subject to CMS Timely Approvals	Preliminary Draft Date
Transition to Health Home Begins	January 1, 2019

- CMS has recently provided the State additional direction regarding authorizations needed to implement the transition to Health Home as described in the updated Transition Plan submitted to CMS on August 7, 2018
- While the State already has a State Plan in place for enrolling children in Health Home, authorization is required to provide transitional Health Home care management rates for waiver providers that will transition from waiver care management rates to Health Home rates
- The State had proposed the 1115 waiver provide the authorization for the transitional Health Home Rates
- Instead of the using the 1115 waiver, CMS has requested the State use the Health Home State Plan as the vehicle to obtain authorization to implement transitional Health Home rates
- The State is working on public notice and draft Health Home State Plan Amendment to submit to CMS for approval by January 1, 2019



Readiness and Training for January – March 2019 Transition of Children in Current Waivers to Health Home

- To facilitate transition and provider assistance, a DOH liaison has been assigned to each transitioning waiver provider
- Readiness activities have been focused on ensuring transitioning providers are properly linked to Health Homes (business associate agreements, etc.) and have access and training to key systems, including UAS, CANS-NY, and MAPP HHTS
- Regional, in person Training Summits will be held across the State – training scheduled will be released shortly and will include:
- One day Training Summits to be held in the last quarter of 2018 for transitioning waiver providers that will focus on training to transition children and families who are currently in 1915c waivers to Health Home during the January – March 2019 transition period
- During the transition period, TA office hours for transitioning providers will be available and Q and A webinars will be scheduled
- In addition, one day Training Summits for all HH care managers will be held in the first quarter of 2019 and will focus on HCBS eligibility and workflows, HCBS services and plans of care



Review of Progress Made to Obtain CMS Approvals to Implement the Children’s Design – Concurrent 1915(c) and 1115 Waiver

- On August 7, 2018 the State submitted a draft 1915(c) Children’s Waiver to CMS and posted the draft for public comment. Stakeholder comments were due September, 23, 2018
- State is reviewing Stakeholder comments and is working to formally submit the 1915(c) Children’s Waiver to CMS in early October
- On August 23, 2018, the State has also submitted to CMS draft “Standards Terms and Conditions” for implementing amendments to the concurrent the 1115 Waiver
- CMS has been reviewing documents and providing some comments
- The State is now working to submit a formal version of the 1915(c) to CMS early in October

Draft, Preliminary Timeline Subject to CMS Timely Approvals	Preliminary Draft Date
1915(c) Children’s Consolidated Waiver, new array of HCBS in Managed Care, remove exemption and exclusion for 1915(c) Consolidated Waiver children from Managed Care	April 1, 2019
Three year phase in of Level of Care (LOC)	July 1, 2019
Behavioral Health Benefits to Managed Care	July 1, 2019



Review of Progress Made to Obtain CMS Approvals to Implement the Children's Design – Foster Care and Remaining CFTSS

- In addition to provisions that will be included in the concurrent 1115 waiver to transition the VFCA population to Managed Care on July 1, 2019, the State will also submit to CMS a State Plan Amendment (SPA) to implement the Residual Per Diem
- The State is working to submit the draft Residual SPA to CMS in October. SPA will be formally submitted in the second quarter of 2019 for approval by July 1, 2019

Draft, Preliminary Timeline Subject to CMS Timely Approvals	Preliminary Draft Date
Foster Care Population to Managed Care	July 1, 2019
Implement Remaining New State Plan Services - Youth Peer Support and Training and Crisis Intervention	January 1, 2020

- The State will submit SPA in last quarter of 2019 for January 1, 2020 approval of Youth Peer and Crisis Intervention



Review of Progress Made to Obtain CMS Approvals to Implement the Children's Design

- New York is moving forward with implementation and readiness activities under the current schedule
- CMS and New York meet weekly
- New York committed to turning around CMS requests for additional information as priority and as quickly as possible
- While significant progress has been made over the Summer, there is a lot to do with respect to the concurrent 1915c and 1115 waiver approval processes
- The State will continue to closely monitor progress and potential risks to the implementation schedule
- Key considerations will be smooth transition for children and families currently receiving care management and waiver services, the ability to timely pay providers approved rates and overall readiness activities. Where necessary, targeted adjustments to the schedule may be implemented, but goal is keep moving forward



VFCA Licensure Reviews and Other Updates

- On-site Licensing Reviews in progress (47 visits completed as of 9/25/18, 28 visit remaining)
- On-site 29i Licensing Reviews scheduled to be completed by mid-October
- 29i Licensing remains on schedule for July 1, 2019 transition
- Transitional Rates (from current per diem to Residual per diem) under review
- Conducted a series of focus groups to inform content development for the Cost Allocation and Budget Training
- Cost Allocation and Budget Training materials being developed with assistance from MCTAC
- Developing guidance to assist plans with the VFCA transition



Overview of Stakeholder Feedback on 1915(c) Waiver Application

- Webinar was held on August 27 to provide overview of the structure and components of the draft 1915(c) Children's Waiver
 - Slides from the webinar can be found at:
https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/docs/1915c_stakeholder_update_for_827_final.pdf
- Themes of Stakeholder Feedback
 - Capacity management clarification and process detail
 - Consumer engagement needs and request for additional outreach and education
 - Continuity of care protections
 - Provider education and training needs



Children and Family Treatment and Support Services (CFTSS) Manual

- The State released the manual June 20, 2018, requesting stakeholder comment on the Standards of Care section.
- Feedback is being reviewed and incorporated.
- The manual can be found at:
https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/docs/updated_spa_manual.pdf



Billing Manual Updates

On August 27, 2018, New York updated the two billing manuals it released on July 26, 2018

- *Children's Health and Behavioral Health (BH) Children's Medicaid System Transformation Billing and Coding Manual* – was updated per the request of the Plans to include unique procedure and modifier codes for each service
 - https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/docs/billing_manual.pdf
- *New York State Children's Health and Behavioral Health (BH) Services Children's Medicaid System Transformation Guidance for the Transitional Period January 1, 2019 – January 1, 2020* to clarify one year requirement to re-determine HCBS eligibility when there is a significant change of condition
 - https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/docs/billing_supplement.pdf



Service Rates

Home and Community Based Services rates can be found at:

https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/docs/draft_bh_kids_hcbs_rate_summary.pdf

- Presentation of table revised rates by rate unit and billing unit

Updated Children and Family Treatment and Support Services (CFTSS) rates were posted to the website at:

https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/docs/children_and_family_treatment_support_services_rate_summary.pdf

- Reflects updates based on feedback from stakeholders



Provider Designation

- To date, 177 providers have received designation letters indicating approvals and/or denials for services.
- The designation process will remain a rolling process, and the State is continuing to review applications and issue designations.
 - A list of designated providers can be found at https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/provider_design.htm.
 - Plans receive an updated list of designated providers in their service area monthly via the Network Contracting Status Report/Exhibit 4 and report monthly to the State on contracting status.
 - Providers can find out which Plans serve their area by using the MCTAC MCO Plan Matrix at <https://matrix.ctacny.org/>



Provider Designations for Children and Family Treatment and Support Services Summary as of 9/19/18

CFTSS	MH Population		SUD Population		Foster Care Population	
	Number of Agencies	Number of Sites	Number of Agencies	Number of Sites	Number of Agencies	Number of Sites
Community Psychiatric Support and Treatment (CPST)	79	190	16	31	60	181
Crisis Intervention	16	35	5	13	6	19
Family Peer Support and Services	88	186	10	15	40	115
Other Licensed Practitioner	79	188	15	25	62	180
Psychosocial Rehabilitation (PSR)	98	226	15	31	65	184
Youth Peer Support and Training	68	157	11	17	36	111
Grand Total	122	269	18	34	67	200

Note: This contains approvals only and does not include those providers who were “Pending Certification” or “Pending Licensure”. Additional providers will be approved for the Substance Use population or Mental Health population, once they complete the OASAS Certification or OMH licensure process respectively, as described in their designation letter, if applicable.



Provider Designation for HCBS as of 9/19/18

HCBS Services	Number of Agencies	Number of Sites
Caregiver Family Support and Services	120	256
Community Self Advocacy Training and Support	100	210
Crisis Respite	57	152
Habilitation*	40	113
Palliative Care	4	7
Planned Respite	91	206
Prevocational Services	96	220
Supported Employment	76	169
Grand Total	136	310

***Note:** Habilitation is now two distinct services: Community Habilitation and Day Habilitation. Providers approved for Habilitation have been notified of the changes. Applications and approvals for Habilitation will be adjusted to accommodate this change.



Palliative Care Breakdown by Service as of 9/19/18

Palliative Care Services	Number of Agencies	Number of Sites
Bereavement Services	4	7
Pain and Symptom Management	2	3
Express Therapy	3	6
Massage Therapy	2	3

Note: The four Palliative Care components are now four distinct services and the provider designation application will be adjusted to accommodate this change.



Capacity for New CFTSS Going Live January 1, 2019



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Plan Readiness Review Update

- Schedule for Readiness adjusted to reflect schedule of implementing State Plan CFTSS services in January 2019 and April 2019 transition to Managed Care
- The scheduled does not change any existing Children's MCO standards, but rather modifies how and when all MCOs demonstrate compliance and readiness with standards.
 - Phase 1- Ongoing
 - Document Review of all policies and procedures, Medical Necessity Criteria, Recruitment and Training, Network development
 - Phase 2– December 2018
 - Claims/ IT Readiness Review to confirm that MCOs are prepared to adjudicate claims for the three Children and Family Treatment and Support Services including Other Licensed Practitioner (OLP), Community Psychiatric Support and Treatment (CPST) and Psychosocial Rehabilitation Services (PSR) moving into managed care effective January 1, 2019
 - Phase 3- Early 2019
 - Comprehensive Program, Claims and IT Onsite Readiness Review to confirm MCO ability to administer services moving into managed care according to the Children's Systems Transformation timeline.



Children's Roundtables

- November 2, 2018: Preparation meeting for Roundtable invitees to discuss expectations on plan-provider contracting, claims testing, and ongoing communications expectations.
- January 2019: Implementation Roundtables begin, including representatives from Medicaid Managed Care Plans (MMCPs), MMCP trade associations, provider agencies, provider trade associations, and New York State Agency Staff.
- The Roundtables will be open to providers of CFTSS and HCBS, however the initial meetings will focus only on the 3 services going live in January.



Readiness Funds for Behavioral Health Providers

- Webinar held on 7/27/2018 to discuss State's plan for use of readiness funds and stakeholder feedback solicited on this plan.
- **Transition Planning Grants - \$1.25M**
 - Goal: To support the development of a comprehensive Strategic Transition Plan for OMH and OCFS designated "Critical Access Providers"
 - Status: Providers were identified and notified at the end of August. Providers receiving funds are in the process of completing a readiness assessment which will inform the completion of a required Transition Plan from each provider.
- **Behavioral Health Information Technology (BHIT) Grants - \$1M**
 - Goal: Support OMH and OCFS providers who have been designated to provide CFTSS and HCBS who have limited to no Medicaid billing history
 - Provide technical assistance to support infrastructure and partnerships needed for Medicaid managed care billing
 - Status: Providers will be identified and notified in early October.
- **Family and Youth Peer Support Grants - \$1M**
 - Goal: To provide funding to existing Family Support Service Providers and Youth Peer Support Providers
 - Status: Eligible providers have been notified and proposals are due September 28 to receive grants.



Readiness Funds for Behavioral Health Providers Continued...

- **OCFS Cost Allocation Training - \$100K**
 - Goal: To develop specific trainings and workshops to address
 - Guidance and training to assist VFCAs in developing infrastructure on budgeting and cost allocation under 29i OCFS licensure
 - Status: Focus group held in NYC on 9/12/18 to inform the cost allocation training curriculum and trainings currently being developed
- **OASAS provider funding - \$250K**
 - Goal: To provide SUD-related technical assistance to OASAS designated providers
 - Training to include one-to-one general and program specific assistance, managed care readiness, and billing preparation



Health Home and Other Readiness Funds

- State Plan approved to implement \$4 million (includes Federal Match) for Health Home Readiness Funds to four Health Homes primarily serving children
- First installment (\$2 million of funds) anticipated to be paid in November (payments now working their way through approval process)
- Final payment anticipated to be made in February
- The State Plan also eliminated the “sunset” date on the Health Home rates for children – upon original adoption of the children’s HH SPA CMS requested we revisit the rate structure post implementation.
- State continues to work with stakeholders on private duty nursing and other medically fragile children issues

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Trainings Completed This Summer

June 2018

Children's Home and Community Based Services (HCBS) Trainings – in-person, statewide

CANS NY for Health Home Care Managers and Supervisors

July 2018

Billing Trainings (Revenue Cycle Management, Billing, Clean Claims) – in-person, statewide

CANS NY for Health Home Care Managers and Supervisors, MCOs continue

August 2018

1915c Stakeholder Update for Comment webinar

CANS NY for Health Home Care Managers and Supervisors continue



Fall Trainings

September 2018

[Utilization Management for Children and Family Treatment and Support Services webinar](#) – 9/18

CANS NY for Health Home Care Managers and Supervisors, MCOs continue

October 2018

Children and Family Treatment and Support Services Refresher webinar

CANS NY for Health Home Care Managers and Supervisors, MCOs continue

In Person Health Home Transition Training for Current 1915(c) Waiver Providers (Focused on Training to Implement the January – March 2019 Health Home Transition Period)



Fall Trainings

November 2018

Children's Readiness Funding Trainings for Identified Providers only

CANS NY for Health Home Care Managers and Supervisors, MCOs continue

In Person Health Home Transition Training for Current 1915(c) Waiver Providers (Focused on Training to Implement the January – March 2019 Health Home Transition Period)

December 2018

In Person Health Home Transition Training for Current 1915(c) Waiver Providers (Focused on Training to Implement the January – March 2019 Health Home Transition Period)



Children's Consumer Education and Outreach

- Increasing general awareness of the Children's System Transition
- Focus on promotion and education of Children and Family Treatment and Support Services in anticipation of January 1, 2019 implementation date
- Two-Pronged Approach:
 - Training/Resources for Stakeholders Engaging with Children and Families
 - Direct outreach to Children/Youth and Families/Caregivers
- In Development:
 - Brochures on CFTSS, HCBS, HH/CM, and MMC
 - Outreach and Education "Toolkit"
 - Collaboration with Youth-Focused Organizations
 - Engagement with Additional Stakeholder Groups
 - In-Person Educational Forums for Children and Families
 - Social Media Outreach Campaign



Children's Consumer Education – Social Media

- Social Media Launch
 - October 10th, 2018: “World Mental Health Day”
- Multi-platform social media draft posts are under development
 - Outreach audiences: youth, families, agencies, providers, advocates, schools and educators, family physicians and pediatricians
 - Graphics and direct links to resources
- Presence and engagement through OMH accounts in collaboration with OMH Public Information Office



Questions and Discussion



Updates, Resources, Training Schedule and Questions

- Please send any questions to the following mailboxes:
Questions on Health Homes Serving Children to: hhsc@health.ny.gov
Questions on the Children's System Transformation to:
BH.Transition@health.ny.gov or
OMH-Managed-Care@omh.ny.gov
- Stay current by visiting the Children's System Transformation website:
https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/index.htm

