



New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:  
*Albany Medical Center*

November 9-10, 2015



## PPS Informational Page – Albany Medical Center PPS

**PPS Name:** Albany Medical Center

**PPS Lead Organization:** Albany Medical Center Hospital

**PPS Service Counties:** Albany, Columbia, Greene, Saratoga, Warren

### Goals of the PPS:

1. Over the project period, reduce avoidable emergency room use by 25% for the target population
2. Over the project period, reduce avoidable inpatient admissions by 25% for the target population
3. Over the project period, reduce the system-wide cost of care within our 5 county service area
4. Improve system integration by co-locating services and using community based approaches to care
5. Reduce health disparities
6. Improve clinical outcomes for patients with chronic conditions
7. Improve key population health measures in the community over time
8. Transition the health care system to pay for performance so that 90% of payments are made this way

### Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

### PPS Budget

The PPS Budget represents the PPS’ plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are *Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services, and Other*. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

### Baseline Budget Submitted Quarter One

Budget Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	21,215,367.08	22,608,608.45	36,560,957.30	32,374,588.14	21,215,367.08	133,974,888
Cost of Project Implementation & Administration	9,549,730	6,784,488	9,137,087	4,855,250	1,697,730	32,024,285
Revenue Loss	228,293	4,745,142	9,502,571	8,415,767	4,880,373	27,776,746
Internal PPS Provider Bonus Payments	4,244,324	5,653,740	10,364,505	11,328,917	8,488,545	40,680,135
Cost of non-covered services	3,183,243	3,392,244	5,482,252	4,855,250	3,183,243	20,096,232
Other	2,115,907	2,255,143	3,667,442	3,243,088	2,115,907	13,397,487
Total Expenditures	19,321,497.00	22,834,757.00	38,753,857.00	32,698,272.00	20,366,502.00	133,974,885
Undistributed Revenue	1,893,870.08	0.00	0.00	0.00	848,865.08	3



Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report

Benchmarks

Table with 4 columns: Waiver Revenue DY1, Total Waiver Revenue, Undistributed Revenue YTD, Undistributed Revenue Total. Values: 21,215,367, 133,974,888, 21,215,367, 133,974,888

Table with 7 columns: Budget Items, Quarterly Amount - Update (DY1, Q1 (\$), DY1, Q2 (\$)), Remaining Balance in Current DY, Percent Remaining in Current DY, Cumulative Remaining Balance, Percent Remaining of Cumulative Balance. Rows include Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Cost of non-covered services, Other, Total Expenditures.

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

PPS Funds Flow

The PPS Funds Flow represents the PPS' distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

Baseline Funds Flow Submitted Quarter One

Table with 7 columns: Funds Flow Items, DY1 (\$), DY2 (\$), DY3 (\$), DY4 (\$), DY5 (\$), Total (\$). Rows include Waiver Revenue, Practitioner - Primary Care Provider (PCP), Practitioner - Non-Primary Care Provider (PCP), Hospital, Clinic, Case Management / Health Home, Mental Health, Substance Abuse, Nursing Home, Pharmacy, Hospice, Community Based Organizations, All Other, Total Funds Distributed, Undistributed Revenue.



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
21,215,367	133,974,888	21,215,367	133,974,888

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project											DY Adjusted Difference	Cumulative Difference	
			Projects Selected By PPS													
	DY1 Q1	DY2 Q1	2.a.i	2.a.iii	2.a.v	2.b.iii	2.d.i	3.a.i	3.a.ii	3.b.i	3.d.iii	4.b.i	4.b.ii			
Practitioner – Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,828,146	11,956,375
Practitioner – Non-Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,080,373	6,996,407
Hospital	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,579,392	40,805,186
Clinic	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,977,469	14,170,775
Case Management / Health Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,593,551	10,400,307
Mental Health	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,410,273	9,184,629
Substance Abuse	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,043,718	6,753,272
Nursing Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	428,291	2,739,030
Pharmacy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58,649	389,016
Hospice	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Community Based Organizations	0	0	0	0	0	0	0	0	0	0	0	0	0	0	809,123	5,197,203
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,518,768	25,382,687
<b>Total Expenditures</b>	<b>0</b>	<b>0</b>														

*Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.*

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
Governance	1: Finalize governance structure and sub-committee structure	9/30/15
Governance	3: Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15
Governance	5: Finalize community engagement plan, including	9/30/15



	communications with the public and non-provider organizations	
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New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:  
*Alliance for Better Health*

November 9-10, 2015



## PPS Informational Page – Alliance for Better Health, LLC.

**PPS Name:** Alliance for Better Health, LLC.

**PPS Lead Organization:** Ellis Hospital; Saint Peters Hospital Albany

**PPS Service Counties:** Albany, Fulton, Montgomery, Rensselaer, Saratoga, Schenectady

### Goals of the PPS:

1. Better population health via system transformation, clinical/nonclinical improvement, PH management
2. Promote community level collaboration (in three groups: behavioral, medical, CBOs)
3. Quality driven, life-enhancing, cost effective care delivery ("right care, right place, right time")
4. Culturally sensitive person centered care-promotes health literacy, self-care, understanding choices

## Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

### PPS Budget

The PPS Budget represents the PPS’ plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are *Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services*, and *Other*. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

### Baseline Budget Submitted Quarter One

Budget Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	37,539,016.52	40,004,253.86	64,691,899.79	57,284,429.46	37,539,016.52	237,058,615
Cost of Project Implementation & Administration	9,384,754	10,001,063	16,172,975	14,321,107	9,384,754	59,264,653
Revenue Loss	9,384,754	10,001,063	16,172,975	14,321,107	9,384,754	59,264,653
Internal PPS Provider Bonus Payments	11,261,705	12,001,276	19,407,569	17,185,329	11,261,705	71,117,584
Cost of non-covered services	3,753,902	4,000,426	6,469,190	5,728,443	3,753,902	23,705,863
Other	3,753,902	4,000,426	6,469,190	5,728,443	3,753,902	23,705,863
<b>Total Expenditures</b>	<b>37,539,017.00</b>	<b>40,004,254.00</b>	<b>64,691,899.00</b>	<b>57,284,429.00</b>	<b>37,539,017.00</b>	<b>237,058,616</b>
Undistributed Revenue	0.00	0.00	0.00	0.46	0.00	0



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
37,539,017	237,058,615	36,955,152	236,474,750

Budget Items	Quarterly Amount - Update		Remaining Balance in Current DY	Percent Remaining in Current DY	Cumulative Remaining Balance	Percent Remaining of Cumulative Balance
	DY1, Q1 (\$)	DY1, Q2 (\$)				
Cost of Project Implementation & Administration	59,216	1,524,649	7,800,889	83.12%	57,680,788	97.33%
Revenue Loss			9,384,754	100.00%	59,264,653	100.00%
Internal PPS Provider Bonus Payments			11,261,765	100.00%	71,117,584	100.00%
Cost of non-covered services			3,753,902	100.00%	23,705,863	100.00%
Other			3,753,902	100.00%	23,705,863	100.00%
<b>Total Expenditures</b>	<b>59,216</b>	<b>1,524,649</b>				

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Funds Flow**

The PPS Funds Flow represents the PPS' distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

**Baseline Funds Flow Submitted Quarter One**

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	37,539,016.52	40,004,253.86	64,691,898.79	57,284,429.48	37,539,016.52	237,058,616
Practitioner – Primary Care Provider (PCP)	6,113,343	6,514,815	10,535,273	9,328,944	6,113,343	38,605,718
Practitioner – Non-Primary Care Provider (PCP)	2,971,789	3,166,950	5,121,356	4,534,941	2,971,789	18,766,825
Hospital	13,264,484	14,135,581	22,859,008	20,241,563	13,264,484	83,765,120
Clinic	1,243,821	1,325,291	2,143,182	1,897,762	1,243,821	7,853,457
Case Management / Health Home	2,503,538	2,667,949	4,314,408	3,820,392	2,503,538	15,809,825
Mental Health	844,488	899,944	1,455,323	1,288,883	844,488	5,332,922
Substance Abuse	132,661	141,373	228,818	202,441	132,661	837,754
Nursing Home	201,935	215,197	348,000	308,153	201,935	1,275,220
Pharmacy	105,891	112,845	182,484	161,589	105,891	668,700
Hospice	11,547	12,305	19,899	17,821	11,547	72,919
Community Based Organizations	579,882	617,964	999,325	884,898	579,882	3,661,951
All Other	9,565,840	10,194,040	16,485,043	14,597,442	9,565,840	60,408,205
<b>Total Funds Distributed</b>	<b>37,539,017.00</b>	<b>40,004,254.00</b>	<b>64,691,899.00</b>	<b>57,284,429.00</b>	<b>37,539,017.00</b>	<b>237,058,616</b>
Undistributed Revenue	0.00	0.00	0.00	0.46	0.00	0





**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks

Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
37,539,017	237,058,815	35,955,152	235,474,750

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project											DY Adjusted Difference	Cumulative Difference	
			Projects Selected By PPS													
	DY1 Q1	DY2 Q1	2.a.i	2.b.iii	2.b.iv	2.b.vii.i	2.d.i	3.a.i	3.a.iv	3.d.ii	3.g.i	4.a.iii	4.b.i			
Practitioner – Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,113,343	38,605,713
Practitioner – Non-Primary Care Provider (PCP)			0	0	0	0	0	0	0	0	0	0	0	0	2,971,789	18,766,825
Hospital			0	0	0	0	0	0	0	0	0	0	0	0	13,284,484	83,765,120
Clinic			0	0	0	0	0	0	0	0	0	0	0	0	1,243,821	7,853,457
Case Management / Health Home			0	0	0	0	0	0	0	0	0	0	0	0	2,503,538	15,809,825
Mental Health			0	0	0	0	0	0	0	0	0	0	0	0	844,488	5,332,922
Substance Abuse			0	0	0	0	0	0	0	0	0	0	0	0	132,861	837,754
Nursing Home			0	0	0	0	0	0	0	0	0	0	0	0	201,935	1,275,220
Pharmacy			0	0	0	0	0	0	0	0	0	0	0	0	105,891	688,700
Hospice			0	0	0	0	0	0	0	0	0	0	0	0	11,547	72,919
Community Based Organizations			0	0	0	0	0	0	0	0	0	0	0	0	579,882	3,661,951
All Other	59,216	1,524,649	0	0	0	0	0	0	0	0	0	0	0	0	7,981,975	58,824,340
<b>Total Expenditures</b>	<b>59,216</b>	<b>1,524,649</b>														

*Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.*

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
Governance	1. Finalize governance structure and sub-committee structure	9/30/15
Governance	3. Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15



IT Systems and Processes	1. Perform current state assessment of IT capabilities across network, identifying any critical gaps, including readiness for data sharing and the implementation of interoperable IT platform(s).	9/30/15
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New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:

*Central New York*

November 9-10, 2015



PPS Informational Page – Central New York PPS

PPS Name: Central New York

PPS Lead Organization: University Hospital SUNY Upstate

PPS Service Counties: Cayuga, Lewis, Madison, Oneida, Onondaga, Oswego

Goals of the PPS:

- 1. Build an integrated health care delivery system for Medicaid members and the low-income uninsured.
2. Build, improve, and integrate primary care and behavioral health access and coordination.
3. Ensure access and transform care, systems, coordination, and transitions of care across sectors.
4. Engage the workforce in understanding and accessing health care transformation opportunities.
5. Assure a sustainable network.
6. Implement a comprehensive population health management strategy.

Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

PPS Budget

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Baseline Budget Submitted Quarter One

Table with 7 columns: Budget Items, DY1 (\$), DY2 (\$), DY3 (\$), DY4 (\$), DY5 (\$), Total (\$). Rows include Waiver Revenue, Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Cost of non-covered services, Other, Total Expenditures, and Undistributed Revenue.

Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report

Table with 7 columns: Budget Items, Quarterly Amount - Update (DY1, Q1 (\$), DY1, Q2 (\$)), Remaining Balance in Current DY, Percent Remaining in Current DY, Cumulative Remaining Balance, Percent Remaining of Cumulative Balance. Rows include Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Cost of non-covered services, Other, and Total Expenditures.



Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Funds Flow**

The PPS Funds Flow represents the PPS’ distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

**Baseline Funds Flow Submitted Quarter One**

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	25,083,509.32	26,730,776.74	43,227,020.76	38,277,361.89	25,083,509.32	158,402,178
Practitioner – Primary Care Provider (PCP)	5,834,283	6,004,294	9,709,697	8,597,899	5,837,283	35,883,456
Practitioner – Non-Primary Care Provider (PCP)	60,426	64,394	104,134	92,210	60,426	381,590
Hospital	6,914,846	7,388,953	11,916,523	10,552,035	6,914,846	43,667,203
Clinic	2,481,010	2,643,941	4,275,585	3,788,014	2,481,010	15,667,560
Case Management / Health Home	1,514,818	1,614,085	2,610,177	2,311,302	1,514,818	9,564,800
Mental Health	1,828,086	1,948,139	3,150,385	2,789,654	1,828,086	11,544,350
Substance Abuse	914,043	974,070	1,575,193	1,394,827	914,043	5,772,176
Nursing Home	58,470	62,309	100,762	89,225	58,470	369,236
Pharmacy	35,418	37,744	61,037	54,048	35,418	223,665
Hospice	39,933	42,555	68,817	60,938	39,933	252,176
Community Based Organizations	585,675	624,137	1,009,308	893,738	585,675	3,698,533
All Other	0	0	0	0	0	0
<b>Total Funds Distributed</b>	<b>20,066,808.00</b>	<b>21,384,621.00</b>	<b>34,581,618.00</b>	<b>30,621,890.00</b>	<b>20,069,808.00</b>	<b>126,724,745</b>
<b>Undistributed Revenue</b>	<b>5,016,701.32</b>	<b>5,346,155.74</b>	<b>8,645,402.76</b>	<b>7,655,471.89</b>	<b>5,013,701.32</b>	<b>31,677,433</b>

**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks

Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
25,083,509	158,402,178	23,083,509	156,402,178

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project											DY Adjusted Difference	Cumulative Difference		
			Projects Selected By PPS														
	DY1 Q1	DY2 Q1	2.a.i	2.a.iii	2.b.iii	2.b.iv	2.d.i	3.a.i	3.a.ii	3.b.i	3.g.i	4.a.iii	4.d.i				
Practitioner – Primary Care Provider (PCP)			0	0	0	0	0	0	0	0	0	0	0	0	0	5,834,283	35,883,456
Practitioner – Non-Primary Care Provider (PCP)			0	0	0	0	0	0	0	0	0	0	0	0	0	60,426	381,590
Hospital	0	2,000,000	.14	.13	.11	.1	.1	.09	.09	.07	.06	.06	.05	.05	4,914,846	41,667,203	
Clinic			0	0	0	0	0	0	0	0	0	0	0	0	2,481,010	15,667,560	
Case Management / Health Home			0	0	0	0	0	0	0	0	0	0	0	0	1,514,818	9,564,800	
Mental Health			0	0	0	0	0	0	0	0	0	0	0	0	1,828,086	11,544,350	
Substance Abuse			0	0	0	0	0	0	0	0	0	0	0	0	914,043	5,772,176	
Nursing Home			0	0	0	0	0	0	0	0	0	0	0	0	58,470	369,236	
Pharmacy			0	0	0	0	0	0	0	0	0	0	0	0	35,418	223,665	
Hospice			0	0	0	0	0	0	0	0	0	0	0	0	39,933	252,176	
Community Based Organizations			0	0	0	0	0	0	0	0	0	0	0	0	585,675	3,698,533	
All Other			0	0	0	0	0	0	0	0	0	0	0	0	0	0	
<b>Total Expenditures</b>	<b>0</b>	<b>2,000,000</b>															

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS



implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
Budget	1. Complete funds flow budget and distribution plan and communicate with network	9/30/15
Governance	1. Finalize governance structure and sub-committee structure	9/30/15
Governance	3. Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15



New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:  
*Adirondack Health Institute*

November 9-10, 2015



### PPS Informational Page – Adirondack Health Institute, Inc. PPS

**PPS Name:** Adirondack Health Institute, Inc.

**PPS Lead Organization:** Adirondack Health Institute Inc.

**PPS Service Counties:** Clinton, Essex, Franklin, Fulton, Hamilton, Saratoga, St Lawrence, Warren, Washington

**Goals of the PPS:**

1. Reduce avoidable hospital and emergency department use by 25% over 5 years.
2. Increase the proportion of care that is provided under a value-based payment methodology.
3. Increase the # of primary care providers that are recognized Patient Centered Medical Homes.
4. Increase primary care capacity.
5. Connect a wider range of providers to RHIOs and/or regional population health management technology.
6. Increase options for home and community-based care.
7. Ensure the full care continuum participates in health system transformation.

### Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

**PPS Budget**

The PPS Budget represents the PPS’ plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are *Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services, and Other*. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

**Baseline Budget Submitted Quarter One**

Budget Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	28,197,053.53	30,048,791.54	48,592,987.10	43,028,821.25	28,197,053.53	178,064,187
Cost of Project Implementation & Administration	10,235,673	12,371,985	15,585,991	9,884,472	5,340,351	53,418,472
Revenue Loss	1,335,088	4,005,319	13,359,421	15,583,627	10,235,673	44,519,128
Internal PPS Provider Bonus Payments	2,670,175	6,764,538	8,460,967	10,418,768	10,858,714	39,173,162
Cost of non-covered services	890,059	1,780,142	5,343,768	5,788,204	4,005,263	17,807,436
Other	13,066,059	5,126,808	5,842,520	1,353,550	2,242,947	27,631,884
<b>Total Expenditures</b>	<b>28,197,054.00</b>	<b>30,048,792.00</b>	<b>48,592,667.00</b>	<b>43,028,621.00</b>	<b>32,682,948.00</b>	<b>182,550,082</b>
Undistributed Revenue	0.00	0.00	0.10	0.25	0.00	0





Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
28,197,054	178,064,187	28,197,054	178,064,187

Budget Items	Quarterly Amount - Update		Remaining Balance in Current DY	Percent Remaining in Current DY	Cumulative Remaining Balance	Percent Remaining of Cumulative Balance
	DY1, Q1 (\$)	DY1, Q2 (\$)				
Cost of Project Implementation & Administration	0	0	10,235,673	100.00%	53,418,472	100.00%
Revenue Loss	0	0	1,335,088	100.00%	44,519,128	100.00%
Internal PPS Provider Bonus Payments	0	0	2,670,175	100.00%	39,173,162	100.00%
Cost of non-covered services	0	0	890,059	100.00%	17,807,436	100.00%
Other	0	0	13,066,059	100.00%	27,631,884	100.00%
<b>Total Expenditures</b>	<b>0</b>	<b>0</b>				

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

PPS Funds Flow

The PPS Funds Flow represents the PPS' distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

Baseline Funds Flow Submitted Quarter One

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	28,197,053.53	30,048,791.54	48,592,667.10	43,028,821.25	28,197,053.53	178,064,187
Practitioner – Primary Care Provider (PCP)	2,288,880	3,884,147	5,870,268	5,485,291	3,884,088	21,179,442
Practitioner – Non-Primary Care Provider (PCP)	748,887	1,208,558	1,925,457	1,789,883	1,285,482	8,938,267
Hospital	5,859,818	9,440,827	15,085,597	14,004,809	9,901,870	54,272,321
Clinic	823,205	1,328,293	2,118,532	1,967,505	1,391,064	7,624,599
Case Management / Health Home	271,543	437,493	698,182	649,003	458,858	2,515,059
Mental Health	2,829,883	4,238,789	6,781,148	6,285,085	4,443,878	24,358,359
Substance Abuse	943,258	1,519,710	2,425,194	2,254,433	1,593,927	8,738,520
Nursing Home	1,000,423	1,811,814	2,572,175	2,391,085	1,890,529	9,266,006
Pharmacy	17,150	27,831	44,094	40,990	28,981	158,846
Hospice	0	0	0	0	0	0
Community Based Organizations	1,029,008	1,657,898	2,845,866	2,459,381	1,738,830	9,530,749
All Other	12,587,603	4,899,884	8,459,388	5,721,176	1,819,971	33,488,020
<b>Total Funds Distributed</b>	<b>28,197,054.00</b>	<b>30,048,792.00</b>	<b>48,592,667.00</b>	<b>43,028,821.00</b>	<b>28,197,054.00</b>	<b>178,064,188</b>
Undistributed Revenue	0.00	0.00	0.10	0.25	0.00	0



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks

Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
28,197,054	178,064,187	28,197,054	178,064,187

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project												DY Adjusted Difference	Cumulative Difference	
			Projects Selected By PPS														
	DY1 Q1	DY2 Q1	2.a.i	2.a.ii	2.a.iv	2.b.vii.i	2.d.i	3.a.i	3.a.ii	3.a.iv	3.g.i	4.a.iii	4.b.ii				
Practitioner – Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,288,880	21,179,442
Practitioner – Non-Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	748,887	6,038,287
Hospital	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,859,818	54,272,321
Clinic	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	823,205	7,824,599
Case Management / Health Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	271,543	2,515,059
Mental Health	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,829,883	24,358,359
Substance Abuse	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	943,256	8,738,520
Nursing Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,000,423	9,268,006
Pharmacy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17,150	158,848
Hospice	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Community Based Organizations	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,029,006	9,530,749
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12,587,803	33,488,020
<b>Total Expenditures</b>	<b>0</b>	<b>0</b>															

*Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.*

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
Governance	1. Finalize governance structure and sub-committee structure	9/30/15
Governance	3. Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15
Governance	5. Finalize community engagement plan, including communications with the public	9/30/15



	and non-provider organizations (e.g. schools, churches, homeless services, housing providers, law enforcement)	
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New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:  
*Millennium Collaborative Care PPS (ECMC)*

November 9-10, 2015



PPS Informational Page – Millennium Collaborative Care PPS (ECMC)

PPS Name: Millennium Collaborative Care (ECMC)

PPS Lead Organization: Erie County Medical Center

PPS Service Counties: Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Niagara, Orleans, Wyoming

Goals of the PPS:

- 1. Resolve excess bed capacity in inpatient and SNF facilities
2. Activate a continuum or providers in the IDS including medical, behavioral, and community
3. Achieve care management integration across the IDS including medical, behavioral, and community
4. Achieve clinically interoperable care management and community support across the system
5. Achieve PCMH/APCM standards and meaningful use requirements in all safety net primary care locations net primary care locations
6. Achieve EHR connectivity to RHIO's HIE for all safety net primary care locations
7. Achieve HIT integrated population health management in all safety net primary care locations
8. Establish contracts with Medicaid MCOs that includes value-based payment
9. Achieve transition to a provider compensation model that includes incentive-based payments
10. Achieve real service integration with all Health Home agencies
11. Engage patients in the integrated delivery system at all levels
12. Address shortages and access gaps for primary care and specialty services in high-need areas

Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

PPS Budget

The PPS Budget represents the PPS' plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services, and Other. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

Baseline Budget Submitted Quarter One

Table with 7 columns: Budget Items, DY1 (\$), DY2 (\$), DY3 (\$), DY4 (\$), DY5 (\$), Total (\$). Rows include Waiver Revenue, Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Cost of non-covered services, Other, Total Expenditures, and Undistributed Revenue.



Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
30,318,631	191,461,931	28,627,000	189,770,300

Budget Items	Quarterly Amount - Update		Remaining Balance in Current DY	Percent Remaining in Current DY	Cumulative Remaining Balance	Percent Remaining of Cumulative Balance
	DY1, Q1 (\$)	DY1, Q2 (\$)				
Cost of Project Implementation & Administration	515,570	1,176,061	13,641,113	88.97%	132,740,880	98.74%
Revenue Loss			0		0	
Internal PPS Provider Bonus Payments			1,096,410	100.00%	24,231,355	100.00%
Cost of non-covered services			1,529,064	100.00%	32,737,467	100.00%
Other			0		0	
<b>Total Expenditures</b>	<b>515,570</b>	<b>1,176,061</b>				

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

PPS Funds Flow

The PPS Funds Flow represents the PPS' distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

Baseline Funds Flow Submitted Quarter One

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
<b>Waiver Revenue</b>	<b>30,318,630.71</b>	<b>32,309,695.52</b>	<b>52,248,632.58</b>	<b>46,266,141.83</b>	<b>30,318,630.71</b>	<b>191,461,931</b>
Practitioner – Primary Care Provider (PCP)	1,115,186	1,731,993	6,042,787	2,518,591	1,029,378	12,437,935
Practitioner – Non-Primary Care Provider (PCP)	205,331	235,348	1,507,201	1,459,010	1,167,422	4,574,312
Hospital	1,408,186	4,201,705	5,613,438	5,131,847	2,814,735	19,169,911
Clinic	0	370,464	555,518	499,877	351,798	1,777,657
Case Management / Health Home	7,037	13,083	2,810	1,447	596	24,973
Mental Health	479,742	1,066,778	2,377,416	2,154,070	1,687,540	7,765,546
Substance Abuse	34,860	104,579	69,719	69,719	69,719	348,596
Nursing Home	153,449	176,922	124,212	91,149	93,344	639,076
Pharmacy	0	0	0	0	0	0
Hospice	0	0	0	0	0	0
Community Based Organizations	544,533	1,779,780	2,907,305	2,665,578	2,394,220	10,291,416
All Other	15,332,744	23,504,354	34,926,881	30,570,359	30,098,173	134,432,511
<b>Total Funds Distributed</b>	<b>19,281,068.00</b>	<b>33,185,006.00</b>	<b>54,127,287.00</b>	<b>45,161,647.00</b>	<b>39,706,925.00</b>	<b>191,461,933</b>
<b>Undistributed Revenue</b>	<b>11,037,562.71</b>	<b>0.00</b>	<b>0.00</b>	<b>1,104,494.83</b>	<b>0.00</b>	<b>0</b>



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
30,318,531	191,461,931	30,143,511	191,286,811

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project											DY Adjusted Difference	Cumulative Difference		
			Projects Selected By PPS														
	DY1 Q1	DY2 Q1	2.a.i	2.b.iii	2.b.vii	2.b.vii.i	2.d.i	3.a.i	3.a.ii	3.b.i	3.f.i	4.a.i	4.d.i				
Practitioner – Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,115,186	12,437,935
Practitioner – Non-Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	205,331	4,574,312
Hospital	9,615	35,604	0	100	0	0	0	0	0	0	0	0	0	0	0	1,362,967	19,124,692
Clinic	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,777,657
Case Management / Health Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7,037	24,973
Mental Health	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	479,742	7,765,546
Substance Abuse	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	34,860	348,596
Nursing Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	153,449	639,076
Pharmacy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Hospice	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Community Based Organizations	0	129,901	0	0	0	0	100	0	0	0	0	0	0	0	0	414,632	10,161,515
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15,332,744	134,432,511
<b>Total Expenditures</b>	<b>9,615</b>	<b>165,505</b>															

*Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.*

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
Governance	1: Finalize governance structure and sub-committee structure	9/30/15
Governance	3: Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15



New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:  
*Sisters of Charity*

November 9-10, 2015





## PPS Informational Page – Sisters of Charity PPS (CPWNY PPS)

**PPS Name:** Sisters of Charity (CPWNY)  
**PPS Lead Organization:** Sisters of Charity Hospital  
**PPS Service Counties:** Chautauqua, Erie, Niagara

### Goals of the PPS:

1. Create an integrated delivery system
2. Ensure care is provided at the appropriate level
3. Improve care to at risk populations
4. Improve PCP access
5. Accelerate health information technology interoperability and establish data governance policies
6. Improve patient engagement

### Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

### PPS Budget

The PPS Budget represents the PPS’ plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are *Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services, and Other*. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

### Baseline Budget Submitted Quarter One

Budget Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	6,871,607.44	7,322,875.04	11,842,007.98	10,486,052.86	6,871,607.44	43,394,151
Cost of Project Implementation & Administration	4,603,377	4,027,581	5,328,904	4,718,724	3,092,223	21,771,409
Revenue Loss	1,236,889	1,318,118	2,131,561	1,887,490	1,236,889	7,810,947
Internal PPS Provider Bonus Payments	618,445	1,244,889	3,434,182	3,145,816	2,198,914	10,642,246
Cost of non-covered services	343,580	659,059	828,941	524,303	206,148	2,562,031
Other	68,716	73,228	118,420	209,720	137,433	607,517
<b>Total Expenditures</b>	<b>6,871,607.00</b>	<b>7,322,875.00</b>	<b>11,842,008.00</b>	<b>10,486,053.00</b>	<b>6,871,607.00</b>	<b>43,394,150</b>
Undistributed Revenue	0.44	0.04	0.00	0.00	0.44	1



Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report

Benchmarks

Table with 4 columns: Waiver Revenue DY1, Total Waiver Revenue, Undistributed Revenue YTD, Undistributed Revenue Total. Values: 6,871,607, 43,394,151, 6,048,637, 42,571,181

Table with 6 columns: Budget Items, Quarterly Amount - Update (DY1, Q1 (\$), DY1, Q2 (\$)), Remaining Balance in Current DY, Percent Remaining in Current DY, Cumulative Remaining Balance, Percent Remaining of Cumulative Balance. Rows include Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Cost of non-covered services, Other, Total Expenditures.

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

PPS Funds Flow

The PPS Funds Flow represents the PPS' distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

Baseline Funds Flow Submitted Quarter One

Table with 7 columns: Funds Flow Items, DY1 (\$), DY2 (\$), DY3 (\$), DY4 (\$), DY5 (\$), Total (\$). Rows include Waiver Revenue, Practitioner - Primary Care Provider (PCP), Practitioner - Non-Primary Care Provider (PCP), Hospital, Clinic, Case Management / Health Home, Mental Health, Substance Abuse, Nursing Home, Pharmacy, Hospice, Community Based Organizations, All Other, Total Funds Distributed, Undistributed Revenue.



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
6,871,607	43,394,151	6,048,637	42,571,181

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project										DY Adjusted Difference	Cumulative Difference			
			Projects Selected By PPS														
	DY1 Q1	DY2 Q1	2.a.i	2.b.iii	2.b.iv	2.c.ii	3.a.i	3.b.i	3.f.j	3.g.i	4.a.i	4.b.i					
Practitioner – Primary Care Provider (PCP)			0	0	0	0	0	0	0	0	0	0	0	0	0	412,296	4,516,997
Practitioner – Non-Primary Care Provider (PCP)			0	0	0	0	0	0	0	0	0	0	0	0	0	206,148	1,748,385
Hospital	246,771	576,199	18.42	7.16	41.26	7.08	10.67	4.08	3.13	8.21	0	0	0	0	0	413,919	6,987,977
Clinic			0	0	0	0	0	0	0	0	0	0	0	0	0	343,580	2,169,707
Case Management / Health Home			0	0	0	0	0	0	0	0	0	0	0	0	0	137,432	936,599
Mental Health			0	0	0	0	0	0	0	0	0	0	0	0	0	481,013	4,126,029
Substance Abuse			0	0	0	0	0	0	0	0	0	0	0	0	0	137,432	867,883
Nursing Home			0	0	0	0	0	0	0	0	0	0	0	0	0	481,013	3,576,394
Pharmacy			0	0	0	0	0	0	0	0	0	0	0	0	0	137,432	867,883
Hospice			0	0	0	0	0	0	0	0	0	0	0	0	0	137,432	986,303
Community Based Organizations			0	0	0	0	0	0	0	0	0	0	0	0	0	343,580	2,343,263
All Other			0	0	0	0	0	0	0	0	0	0	0	0	0	2,267,630	13,443,740
<b>Total Expenditures</b>	<b>246,771</b>	<b>576,199</b>															

*Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.*

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
Governance	1: Finalize governance structure and sub-committee structure	9/30/15
Governance	3: Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15



New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:

*Finger Lakes PPS*

November 9-10, 2015



PPS Informational Page – Finger Lakes PPS

PPS Name: Finger Lakes PPS

PPS Lead Organization: Rochester General Hospital and University Hospital Rochester

PPS Service Counties: Allegany, Cayuga, Chemung, Genesee, Livingston, Monroe, Ontario, Orleans, Seneca, Steuben, Wayne, Wyoming, Yates

Goals of the PPS:

- 1. Improve health through system reforms, prevention, early detection, and delivery of integrated care
2. Provide patient-centered, appropriate care through health literacy and provider sensitivity
3. Increase access to primary care to ensure the right care is delivered at the right place and time

Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

PPS Budget

The PPS Budget represents the PPS' plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services, and Other. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

Baseline Budget Submitted Quarter One

Table with 7 columns: Budget Items, DY1 (\$), DY2 (\$), DY3 (\$), DY4 (\$), DY5 (\$), Total (\$). Rows include Waiver Revenue, Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Cost of non-covered services, Other, Total Expenditures, and Undistributed Revenue.

Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report

Table with 4 columns: Waiver Revenue DY1, Total Waiver Revenue, Undistributed Revenue YTD, Undistributed Revenue Total. Row shows values: 84,539,692, 533,867,539, 80,936,468, 530,264,315.

Table with 6 columns: Budget Items, Quarterly Amount - Update (DY1, Q1 (\$), DY1, Q2 (\$)), Remaining Balance in Current DY, Percent Remaining in Current DY, Cumulative Remaining Balance, Percent Remaining of Cumulative Balance. Rows include Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Cost of non-covered services, Other, Total Expenditures.



Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Funds Flow**

The PPS Funds Flow represents the PPS’ distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

**Baseline Funds Flow Submitted Quarter One**

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
<b>Waiver Revenue</b>	84,539,692.37	90,091,526.42	145,689,304.89	129,007,323.42	84,539,692.37	533,867,539
Practitioner – Primary Care Provider (PCP)	7,591,601	8,090,151	13,082,790	11,584,760	7,591,601	47,940,903
Practitioner – Non-Primary Care Provider (PCP)	5,791,843	6,172,201	9,981,223	8,838,335	5,791,843	36,575,445
Hospital	5,975,398	6,367,811	10,297,549	9,118,441	5,975,399	37,734,598
Clinic	10,030,335	10,689,040	17,285,520	15,306,262	10,030,335	63,341,492
Case Management / Health Home	645,442	687,829	1,112,306	984,942	645,442	4,075,961
Mental Health	6,350,333	6,767,368	10,943,683	9,690,590	6,350,333	40,102,307
Substance Abuse	3,074,793	3,276,719	5,298,866	4,692,125	3,074,793	19,417,296
Nursing Home	6,381,927	6,801,037	10,998,131	9,738,803	6,381,927	40,301,825
Pharmacy	2,504,713	2,669,201	4,316,433	3,822,185	2,504,713	15,817,245
Hospice	2,624,491	2,796,845	4,522,849	4,004,965	2,624,491	16,573,641
Community Based Organizations	1,141,241	1,216,188	1,966,728	1,741,530	1,141,241	7,206,928
All Other	11,292,651	12,034,255	19,460,900	17,232,553	11,292,651	71,313,010
<b>Total Funds Distributed</b>	<b>63,404,768.00</b>	<b>67,568,645.00</b>	<b>109,266,978.00</b>	<b>96,755,491.00</b>	<b>63,404,769.00</b>	<b>400,400,651</b>
<b>Undistributed Revenue</b>	<b>21,134,924.37</b>	<b>22,522,881.42</b>	<b>36,422,326.89</b>	<b>32,251,832.42</b>	<b>21,134,923.37</b>	<b>133,466,888</b>

**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks

Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
84,539,692	533,867,539	84,539,692	533,867,539

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project												DY Adjusted Difference	Cumulative Difference		
	DY1 Q1	DY2 Q1	Projects Selected By PPS															
			2.a.i	2.b.iii	2.b.iv	2.b.vi	2.d.i	3.a.i	3.a.ii	3.a.v	3.f.i	4.a.iii	4.b.ii					
Practitioner – Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7,591,601	47,940,903
Practitioner – Non-Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,791,843	36,575,445
Hospital	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,975,398	37,734,598
Clinic	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10,030,335	63,341,492
Case Management / Health Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	645,442	4,075,961
Mental Health	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,350,333	40,102,307
Substance Abuse	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,074,793	19,417,296
Nursing Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,381,927	40,301,825
Pharmacy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,504,713	15,817,245
Hospice	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,624,491	16,573,641
Community Based Organizations	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,141,241	7,206,928
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11,292,651	71,313,010
<b>Total Expenditures</b>	<b>0</b>	<b>0</b>																

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS



implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
Governance	1: Finalize governance structure and sub-committee structure	9/30/15
Governance	3: Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15



New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:

*Refuah*

November 9-10, 2015





## PPS Informational Page – Refuah Community Health Collaborative

**PPS Name:** Refuah Community Health Collaborative

**PPS Lead Organization:** Refuah Health Center Inc.

**PPS Service Counties:** Orange, Rockland

### Goals of the PPS:

1. Create a high-functioning integrated delivery system in Rockland and Orange Counties
2. Reduce avoidable hospitalizations by 25%
3. Improve indicators in the County Community Health Improvement Plans
4. Reduce patient wait times and expand access to primary care providers
5. Sustain the PPS through value-based payment agreements with managed care plans in the service area

### Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

### PPS Budget

The PPS Budget represents the PPS’ plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are *Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services, and Other*. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

### Baseline Budget Submitted Quarter One

Budget Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	3,402,288.39	3,625,721.20	5,863,246.21	5,191,882.14	3,402,288.39	21,485,426
Cost of Project Implementation & Administration	1,224,673	2,077,179	2,086,109	1,058,083	311,837	6,757,881
Revenue Loss	475,922	0	1,036,322	944,765	700,614	3,157,623
Contingency Fund	475,922	0	1,036,322	944,765	700,614	3,157,623
Internal PPS Provider Bonus Payments	0	0	971,217	1,329,064	598,481	2,898,762
Cost of non-covered services	0	0	0	0	0	0
Other	1,701,693	1,548,542	1,769,598	1,859,970	1,791,356	8,671,159
Total Expenditures	3,402,288.00	3,625,721.00	5,863,246.00	5,191,882.00	3,402,288.00	21,485,425
Undistributed Revenue	0.39	0.20	0.21	0.14	0.39	1



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
3,402,288	21,485,426	2,782,107	20,865,245

Budget Items	Quarterly Amount - Update		Remaining Balance in Current DY	Percent Remaining in Current DY	Cumulative Remaining Balance	Percent Remaining of Cumulative Balance
	DY1, Q1 (\$)	DY1, Q2 (\$)				
Cost of Project Implementation & Administration	101,827	164,708	958,138	78.24%	6,491,346	96.06%
Revenue Loss			475,922	100.00%	3,157,623	100.00%
Contingency Fund						
Internal PPS Provider Bonus Payments			0		2,898,762	100.00%
Cost of non-covered services			0		0	
Other	149,860	203,786	1,348,047	79.22%	8,317,513	95.92%
<b>Total Expenditure</b>	<b>251,687</b>	<b>368,494</b>				

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Funds Flow**

The PPS Funds Flow represents the PPS' distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

**Baseline Funds Flow Submitted Quarter One**

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
<b>Waiver Revenue</b>	3,402,288.39	3,625,721.20	5,863,246.21	5,191,882.14	3,402,288.39	21,485,426
Practitioner – Primary Care Provider (PCP)	0	0	0	0	0	0
Practitioner – Non-Primary Care Provider (PCP)	0	0	0	0	0	0
Hospital	0	0	0	0	0	0
Clinic	0	0	1,333,554	1,614,658	785,064	3,733,296
Case Management / Health Home	0	0	0	0	0	0
Mental Health	0	0	0	0	0	0
Substance Abuse	0	0	0	0	0	0
Nursing Home	0	0	0	0	0	0
Pharmacy	0	0	0	0	0	0
Hospice	0	0	0	0	0	0
Community Based Organizations	0	0	0	0	0	0
All Other	3,344,316	3,683,693	4,529,692	3,577,224	2,617,204	17,752,129
<b>Total Funds Distributed</b>	<b>3,344,316.00</b>	<b>3,683,693.00</b>	<b>5,863,246.00</b>	<b>5,191,882.00</b>	<b>3,402,288.00</b>	<b>21,485,425</b>
<b>Undistributed Revenue</b>	<b>57,372.39</b>	<b>0.00</b>	<b>0.21</b>	<b>0.14</b>	<b>0.39</b>	<b>1</b>



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
3,402,288	21,485,426	2,782,116	20,865,254

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project										DY Adjusted Difference	Cumulative Difference	
			Projects Selected By PPS												
	DY1 Q1	DY2 Q1	2.a.i	2.a.ii	2.c.i	3.a.i	3.a.ii	3.a.iii	4.b.i						
Practitioner – Primary Care Provider (PCP)			0	0	0	0	0	0	0	0	0	0	0	0	0
Practitioner – Non-Primary Care Provider (PCP)			0	0	0	0	0	0	0	0	0	0	0	0	0
Hospital			0	0	0	0	0	0	0	0	0	0	0	0	0
Clinic			0	0	0	0	0	0	0	0	0	0	0	0	3,733,296
Case Management / Health Home			0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Health			0	0	0	0	0	0	0	0	0	0	0	0	0
Substance Abuse			0	0	0	0	0	0	0	0	0	0	0	0	0
Nursing Home			0	0	0	0	0	0	0	0	0	0	0	0	0
Pharmacy			0	0	0	0	0	0	0	0	0	0	0	0	0
Hospice			0	0	0	0	0	0	0	0	0	0	0	0	0
Community Based Organizations			0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	251,687	368,485	21.92	14.37	14.4	14.3	13.53	11.74	9.74					2,724,144	17,131,957
<b>Total Expenditures</b>	<b>251,687</b>	<b>368,485</b>													

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
Governance	1: Finalize governance structure and sub-committee structure	9/30/15
Governance	3: Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15



New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:  
*Westchester*

November 9-10, 2015



## PPS Informational Page – Westchester Medical Center PPS

**PPS Name:** Westchester Medical Center

**PPS Lead Organization:** Westchester Medical Center

**PPS Service Counties:** Delaware, Dutchess, Orange, Putnam, Rockford, Sullivan, Ulster, Westchester

### Goals of the PPS:

1. Create a patient centered integrated delivery system (IDS) in our region
2. Decrease potentially avoidable hospitalizations and unnecessary emergency department (ED) visits
3. Transform siloed delivery of behavioral and physical care in safety net to integrated model
4. Develop region-wide technology infrastructure for data sharing and communication between providers
5. Improve the overall health of the Medicaid and uninsured populations in our region
6. Advance the readiness and capacity of PPS Participants to enter into value-based contracts

## Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

### PPS Budget

The PPS Budget represents the PPS’ plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are *Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services, and Other*. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

### Baseline Budget Submitted Quarter One

Budget Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	41,834,599.10	44,581,832.88	72,094,580.57	63,839,475.92	41,834,599.10	264,185,188
Cost of Project Implementation & Administration	39,363,727	27,399,648	28,883,888	27,444,338	22,821,488	128,043,688
Revenue Loss	4,183,460	4,458,193	7,389,488	8,383,948	4,183,460	28,418,519
Internal PPS Provider Bonus Payments	0	3,289,887	28,886,189	38,059,287	32,123,005	99,438,368
Cost of non-covered services	0	0	0	0	0	0
Other	836,892	1,891,839	5,441,892	5,278,790	1,836,892	15,285,705
Innovation Pool	0	1,000,000	4,000,000	4,000,000	1,000,000	10,000,000
Administration	836,892	891,839	1,441,892	1,278,790	836,892	5,283,705
<b>Total Expenditures</b>	<b>25,373,879.80</b>	<b>36,941,267.00</b>	<b>67,821,884.39</b>	<b>74,164,333.80</b>	<b>60,164,615.00</b>	<b>284,185,188</b>
Undistributed Revenue	16,460,723.10	7,620,665.88	4,673,486.57	0.00	0.00	0



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
41,834,599	254,185,188	38,710,127	251,050,716

Budget Items	Quarterly Amount - Update		Remaining Balance in Current DY	Percent Remaining in Current DY	Cumulative Remaining Balance	Percent Remaining of Cumulative Balance
	DY1, Q1 (\$)	DY1, Q2 (\$)				
Cost of Project Implementation & Administration	1,305,804	1,819,668	17,229,258	94.65%	122,919,164	97.52%
Revenue Loss	0	0	4,183,480	100.00%	25,419,519	100.00%
Internal PPS Provider Bonus Payments	0	0	0	0	96,439,308	100.00%
Cost of non-covered services	0	0	0		0	
Other	0	0	836,892	100.00%	15,283,766	100.00%
Innovation Pool	0	0				
Administration	0	0				
<b>Total Expenditures</b>	<b>1,305,804</b>	<b>1,819,668</b>				

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Funds Flow**

The PPS Funds Flow represents the PPS' distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

**Baseline Funds Flow Submitted Quarter One**

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
<b>Waiver Revenue</b>	41,834,599.10	44,581,932.86	72,094,580.57	83,839,479.92	41,834,599.10	254,185,188
Practioner – Primary Care Provider (PCP)	1,177,516	1,880,031	5,227,049	5,241,324	4,879,035	19,404,955
Practioner – Non-Primary Care Provider (PCP)	9,700	379,422	1,967,196	2,449,641	1,796,150	6,602,299
Hospital	8,486,571	10,062,920	27,058,206	31,419,357	26,626,726	103,653,780
Clinic	229,625	928,908	4,007,836	5,175,348	3,332,301	13,674,014
Care Management / Health Home	0	327,962	2,220,431	2,854,146	2,348,610	7,750,751
Mental Health	269,500	1,396,962	2,775,531	3,180,348	2,596,610	10,179,951
Substance Abuse	0	232,544	1,580,306	2,032,963	1,675,150	5,521,965
Nursing Home	0	20,000	80,000	80,000	20,000	200,000
Pharmacy	0	10,000	40,000	40,000	10,000	100,000
Hospice	0	10,000	40,000	40,000	10,000	100,000
Community Based Organizations	290,313	1,257,027	1,562,133	1,839,195	962,460	5,739,128
All Other	14,933,654	20,495,891	20,828,413	19,011,812	15,914,573	91,291,343
<b>Total Funds Distributed</b>	<b>25,373,879.69</b>	<b>36,961,267.69</b>	<b>87,521,694.89</b>	<b>74,194,333.69</b>	<b>60,164,815.09</b>	<b>254,185,188</b>
<b>Undistributed Revenue</b>	<b>16,460,729.10</b>	<b>7,620,665.16</b>	<b>4,573,486.57</b>	<b>9.00</b>	<b>9.00</b>	<b>0</b>



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
41,824,599	254,182,192	38,710,127	261,090,718

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project												DY Adjusted Difference	Cumulative Difference		
			Projects Selected By PPS															
	DY1 Q1	DY2 Q1	2.a.i	2.a.ii	2.a.iii	2.b.iv	2.d.i	3.a.i	3.a.ii	3.c.i	3.d.ii	4.b.i	4.b.ii					
Practitioner – Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,177,516	19,404,965
Practitioner – Non-Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9,700	6,802,299
Hospital	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,456,571	103,620,762
Clinic	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	229,625	13,674,014
Case Management / Health Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7,750,751
Mental Health	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	268,500	10,179,361
Substance Abuse	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,521,965
Nursing Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	200,000
Pharmacy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100,000
Hospice	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100,000
Community Based Organizations	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	298,313	5,739,120
All Other	1,305,804	1,818,999	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11,809,182	98,166,871
<b>Total Expenditures</b>	<b>1,305,804</b>	<b>1,818,999</b>																

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
<b>Governance</b>	1: Finalize governance structure and sub-committee structure	9/30/15
<b>Governance</b>	3: Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15





New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:  
*Samaritan (NCI)*

November 9-10, 2015





## PPS Informational Page – Samaritan/North Country Initiative PPS

**PPS Name:** Samaritan/North Country Initiative PPS

**PPS Lead Organization:** Samaritan Medical Center

**PPS Service Counties:** Jefferson, Lewis, St. Lawrence

### Goals of the PPS:

1. Implement system-wide clinical interoperability HIT including EMR, HIE and disease registry
2. Improve access to primary and preventive care
3. 100% of Primary Care Provider achieve PCMH 2014
4. Improved clinical quality as defined by PQIs and HEDIS for Diabetes, Cardiac & COPD
5. 25% reduction in avoidable ER rate
6. 25% reduction in avoidable admission rate
7. Integration of PC and BH care at 100% of participating safety net provider
8. Increase care management and care coordination
9. Improved linkages through standardized protocols
10. Engage and activate LU, MU and uninsured
11. Improved patient experience
12. Engage with MCOs to move to value-base payment system through payment reform

### Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

### PPS Budget

The PPS Budget represents the PPS’ plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are *Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services, and Other*. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

### Baseline Budget Submitted Quarter One

Budget Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	11,689,448.74	12,457,110.39	20,144,710.90	17,838,064.61	11,689,448.74	73,818,783
Cost of Project Implementation & Administration	3,095,017	5,310,771	4,860,771	3,460,771	3,360,771	19,998,101
Revenue Loss	0	2,214,563	4,429,127	2,315,548	1,107,282	10,066,520
Internal PPS Provider Bonus Payments	2,338,579	3,431,097	6,041,329	6,242,116	4,092,513	22,145,634
Cost of non-covered services	729,000	1,670,667	2,591,925	2,315,548	1,578,541	8,885,681
Other	756,643	1,624,013	3,764,758	5,546,152	2,602,112	14,293,678
Total Expenditures	6,829,239.00	14,251,111.00	21,687,910.00	19,880,135.00	12,741,219.00	75,389,614
Undistributed Revenue	4,860,209.74	0.00	0.00	0.00	0.00	0



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

*Note: Due to technical difficulties, the DY1Q2 budget tables will be address during the remediation period.*

**PPS Funds Flow**

The PPS Funds Flow represents the PPS’ distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

**Baseline Funds Flow Submitted Quarter One**

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	11,689,448.74	12,457,110.39	20,144,710.90	17,838,064.61	11,689,448.74	73,818,783
Practitioner – Primary Care Provider (PCP)	699,334	924,129	1,694,916	1,784,294	1,259,066	6,361,739
Practitioner – Non-Primary Care Provider (PCP)	237,662	469,293	910,352	961,496	660,951	3,239,754
Hospital	1,738,658	4,133,497	4,875,482	4,577,478	3,110,459	18,435,574
Clinic	269,552	532,264	1,032,505	1,090,512	749,638	3,674,471
Case Management / Health Home	23,496	46,395	90,000	95,056	65,343	320,290
Mental Health	196,600	508,211	1,173,066	1,215,374	546,755	3,640,006
Substance Abuse	156,600	309,226	599,848	633,548	435,513	2,134,735
Nursing Home	61,874	122,177	237,005	250,320	172,075	843,451
Pharmacy	12,060	23,813	46,195	48,790	33,539	164,397
Hospice	6,973	13,769	26,709	28,210	19,392	95,053
Community Based Organizations	303,628	917,342	1,441,682	1,483,350	938,490	5,084,492
All Other	47,598	93,988	182,321	192,564	132,372	648,843
<b>Total Funds Distributed</b>	<b>3,754,035.00</b>	<b>8,094,104.00</b>	<b>12,310,081.00</b>	<b>12,360,992.00</b>	<b>8,123,553.00</b>	<b>44,642,805</b>
<b>Undistributed Revenue</b>	<b>7,935,413.74</b>	<b>4,363,006.39</b>	<b>7,834,629.90</b>	<b>5,477,072.61</b>	<b>3,565,855.74</b>	<b>29,175,978</b>

**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
11,689,449	73,818,783	11,689,449	73,818,783

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project												DY Adjusted Difference	Cumulative Difference		
			Projects Selected By PPS															
	DY1 Q1	DY2 Q1	2.a.I	2.a.II	2.a.IV	2.b.IV	2.d.I	3.a.I	3.b.I	3.c.I	3.c.II	4.a.III	4.b.II					
Practitioner – Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	699,334	6,361,739
Practitioner – Non-Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	237,662	3,239,754
Hospital	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,738,658	18,435,574
Clinic	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	269,552	3,674,471
Case Management / Health Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	23,496	320,290
Mental Health	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	196,600	3,640,006
Substance Abuse	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	156,600	2,134,735
Nursing Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	61,874	843,451
Pharmacy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12,060	164,397
Hospice	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,973	95,053
Community Based Organizations	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	303,628	5,084,492
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	47,598	648,843
<b>Total Expenditures</b>	<b>0</b>	<b>0</b>																

*Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.*



**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
<b>Governance</b>	1: Finalize governance structure and sub-committee structure	9/30/15
<b>Governance</b>	3: Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15



New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:

*Care Compass PPS*

November 9-10, 2015



## PPS Informational Page – Care Compass Network

**PPS Name:** Care Compass Network

**PPS Lead Organization:** United Health Services Hospitals, Inc

**PPS Service Counties:** Broome, Chemung, Chenango, Cortland, Delaware, Schuyler, Steuben, Tioga, Tompkins

### Goals of the PPS:

1. Create an Integrated Delivery System (IDS)
2. Cost effective utilization
3. Workforce Transformation
4. Ensure sustainability
5. Cost effective utilization
6. Workforce Transformation
7. Ensure sustainability

## Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

### PPS Budget

The PPS Budget represents the PPS’ plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are *Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services, and Other*. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

### Baseline Budget Submitted Quarter One

Budget Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
Waiver Revenue	33,827,203.64	36,048,661.10	58,295,241.52	51,620,213.86	33,827,203.64	213,618,544
Cost of Project Implementation & Administration	13,831,328	25,791,713	18,366,747	17,278,634	8,375,104	83,644,126
Administrative Costs	1,758,177	2,909,088	2,976,044	3,045,025	3,116,098	13,804,432
Project Costs	12,073,751	22,882,625	15,390,703	14,233,609	5,259,006	69,839,694
Revenue Loss	0	5,420,976	14,094,538	22,768,102	31,441,663	73,725,279
Hospitals	0	4,980,382	12,948,994	20,917,607	28,886,218	67,733,201
Physicians	0	440,594	1,145,544	1,850,495	2,555,445	5,992,078
Internal PPS Provider Bonus Payments	3,030,303	5,454,546	4,242,425	3,636,364	3,636,364	20,000,002
Cost of non-covered services	1,736,842	2,315,790	2,315,790	2,315,790	2,315,790	11,000,002
Other	1,767,324	4,708,172	7,613,705	6,741,907	4,418,034	25,249,142
Expected Loss Due to Unmet Goals	1,767,324	4,708,172	7,613,705	6,741,907	4,418,034	25,249,142
Total Expenditures	20,366,397.00	43,691,197.00	46,633,205.00	52,740,797.00	50,186,955.00	213,618,551
Undistributed Revenue	13,460,806.64	0.00	11,662,036.52	0.00	0.00	0



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
33,827,204	213,618,544	33,122,838	212,914,178

Budget Items	Quarterly Amount - Update		Remaining Balance in Current DY	Percent Remaining in Current DY	Cumulative Remaining Balance	Percent Remaining of Cumulative Balance
	DY1, Q1 (\$)	DY1, Q2 (\$)				
Cost of Project Implementation & Administration	360,264	344,102	13,127,562	94.91%	82,939,760	99.16%
Administrative Costs	210,264	237,899				
Project Costs (Domains 2-4)	0	0				
Domain 1 Projects	150,000	106,203				
Revenue Loss	0	0	0		73,725,279	100.00%
Hospitals	0	0				
Physicians	0	0				
Internal PPS Provider Bonus Payments	0	0	3,030,303	100.00%	20,000,002	100.00%
Cost of non-covered services	0	0	1,736,842	100.00%	11,000,002	100.00%
Other	0	0	1,767,324	100.00%	25,249,142	100.00%
Expected Loss Due to Unmet Goals	0	0				
<b>Total Expenditures</b>	<b>360,264</b>	<b>344,102</b>				

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Funds Flow**

The PPS Funds Flow represents the PPS' distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

**Baseline Funds Flow Submitted Quarter One**

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
<b>Waiver Revenue</b>	<b>33,827,203.64</b>	<b>36,048,681.10</b>	<b>58,295,241.52</b>	<b>51,620,213.86</b>	<b>33,827,203.64</b>	<b>213,618,544</b>
Practitioner – Primary Care Provider (PCP)	1,096,320	1,755,193	1,010,457	875,796	504,552	5,242,318
Practitioner – Non-Primary Care Provider (PCP)	2,006,788	3,802,098	2,495,996	2,198,980	1,394,997	11,898,859
Hospital	0	6,875,981	14,030,054	22,084,789	26,495,190	69,486,014
Clinic	258,861	398,093	285,307	303,552	256,945	1,502,778
Case Management / Health Home	123,824	194,650	139,628	149,678	126,425	734,205
Mental Health	3,710,451	5,864,020	5,420,044	5,611,955	4,744,297	25,350,767
Substance Abuse	29,407	36,468	25,877	25,216	21,906	138,874
Nursing Home	2,447,526	3,632,545	2,954,386	2,772,084	2,329,046	14,135,587
Pharmacy	14,253	22,812	16,375	17,659	14,890	85,989
Hospice	420,133	610,843	486,305	455,126	381,321	2,353,728
Community Based Organizations	5,035,439	7,666,215	5,979,141	5,597,137	4,691,091	28,969,023
All Other	1,719,210	5,808,317	3,860,140	3,591,126	2,492,467	17,471,260
<b>Total Funds Distributed</b>	<b>16,862,232.00</b>	<b>36,667,235.00</b>	<b>36,703,710.00</b>	<b>43,683,098.00</b>	<b>43,453,127.00</b>	<b>177,369,402</b>
<b>Undistributed Revenue</b>	<b>16,964,971.64</b>	<b>0.00</b>	<b>21,591,531.52</b>	<b>7,937,115.86</b>	<b>0.00</b>	<b>36,249,142</b>



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks			
Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
33,827,204	213,618,544	33,827,204	213,618,544

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project												DY Adjusted Difference	Cumulative Difference			
	DY1 Q1	DY2 Q1	Projects Selected By PPS																
			2.a.i	2.b.iv	2.b.vii	2.c.i	2.d.i	3.a.i	3.a.ii	3.b.i	3.g.i	4.a.iii	4.b.ii						
Practitioner – Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,096,320	5,242,318
Practitioner – Non-Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,006,788	11,898,859
Hospital	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69,486,014
Clinic	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	258,881	1,502,778
Case Management / Health Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	123,824	734,205
Mental Health	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,710,451	25,350,767
Substance Abuse	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29,407	138,874
Nursing Home	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,447,526	14,135,587
Pharmacy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14,253	85,989
Hospice	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	420,133	2,353,728
Community Based Organizations	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,035,439	28,969,023
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,719,210	17,471,260
<b>Total Expenditures</b>	<b>0</b>	<b>0</b>																	

*Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.*

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
<b>Financial Stability</b>	1: Finalize PPS finance structure, including reporting structure	9/30/15
<b>Governance</b>	1: Finalize governance structure and sub-committee structure	9/30/15
<b>Governance</b>	3: Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15





New York Department of Health  
Delivery System Reform Incentive  
Payment (DSRIP) Program

Demonstration Year 1  
Quarter 1 and Quarter 2  
Reporting Summary:

*Bassett*

November 9-10, 2015





PPS Informational Page – Bassett PPS

PPS Name: Bassett (Mohawk Valley)

PPS Lead Organization: Mary Imogene Bassett Hospital

PPS Service Counties: Delaware, Herkimer, Madison, Otsego, Schoharie

Goals of the PPS:

- 1. Create an integrated delivery system
2. Implement care coordination and transition care programs
3. To connect settings and expand access to community based care
4. To implement clinical improvement across disease states and disease management methods
5. To promote mental health and prevent substance abuse chronic diseases

Summary of PPS Progress through September 30, 2015

The following information regarding PPS Budget, PPS Funds Flow and PPS Implementation Milestones is based on the As Submitted PPS Quarterly Report for the period covering July 1, 2015 – September 30, 2015, referred to as DSRIP Year 1, Quarter 2. The information contained within this PPS Quarterly Report is currently under review by the DSRIP Independent Assessor and is subject to change.

PPS Budget

The PPS Budget represents the PPS' plan for distributing earned performance funds across the PPS. In this section, PPS are required to report the categories for which DSRIP performance funds will be used by the PPS. The broad categories included in the PPS Budget are Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Costs of Non-Covered Services, and Other. PPS have provided baseline data and will continue to update with actual figures through each PPS Quarterly Report submission.

Baseline Budget Submitted Quarter One

Table with 7 columns: Budget Items, DY1 (\$), DY2 (\$), DY3 (\$), DY4 (\$), DY5 (\$), Total (\$). Rows include Walver Revenue, Cost of Project Implementation & Administration, Revenue Loss, Internal PPS Provider Bonus Payments, Cost of non-covered services, and Total Expenditures.



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks

Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
10,671,239	67,388,793	9,931,656	66,649,210

Budget Items	Quarterly Amount - Update		Remaining Balance in Current DY	Percent Remaining in Current DY	Cumulative Remaining Balance	Percent Remaining of Cumulative Balance
	DY1, Q1 (\$)	DY1, Q2 (\$)				
Cost of Project Implementation & Administration	167,952	571,631	6,903,005	90.32%	16,705,324	95.76%
Implementation planning	0	0				
Administration/PMO Office	167,952	530,759				
Project Implementation contracts	0	40,872				
Revenue Loss	0	0	0		6,404,200	100.00%
ED/Inpatient loss of revenue resulting from transformation	0	0				
Inferral PPS Provider Bonus Payments	0	0	2,928,651	100.00%	32,342,560	100.00%
Provider bonus payments for meeting/exceeding metrics	0	0				
Cost of non-covered services	0	0	0		3,975,615	100.00%
Services that will lead to transformation & VBS	0	0				
Other	0	0	100,000	100.00%	6,621,509	100.00%
Contingency (Unexpected/unanticipated occurrences within PPS)	0	0				
Sustain Fragile Providers (Support financially fragile providers in PPS who are essential to successful transformation)	0	0				
Innovation (Innovative ideas leading to greater PPS success)	0	0				
<b>Total Expenditures</b>	<b>167,952</b>	<b>571,631</b>				

Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.

**PPS Funds Flow**

The PPS Funds Flow represents the PPS’ distribution of DSRIP funds to their network partners over the five year DSRIP period. The PPS provided initial projections for their plan to distribute funds to network partners and will be required to provide quarterly updates based on actual funds flow. For this period, the PPS was required to report the funds flow at the provider type level however in future periods, the reporting will be required at the provider level.

In disbursing DSRIP funds to network providers, the PPS must adhere to the requirements of the Special Terms & Conditions of the waiver that stipulate that non-Safety Net providers can receive no more than 5% of the DSRIP funds.

**Baseline Funds Flow Submitted Quarter One**

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
<b>Waiver Revenue</b>	<b>10,671,238.53</b>	<b>11,372,032.96</b>	<b>18,390,004.51</b>	<b>16,284,278.80</b>	<b>10,671,238.53</b>	<b>67,388,793</b>
Practitioner – Primary Care Provider (PCP)	2,597,513	3,486,950	5,638,836	4,993,168	3,272,069	19,988,536
Practitioner – Non-Primary Care Provider (PCP)	216,785	291,017	470,611	416,724	273,083	1,668,220
Hospital	3,195,668	4,289,924	6,937,345	6,142,993	4,025,560	24,591,491
Clinic	0	0	0	0	0	0
Case Management / Health Home	591,683	794,286	1,284,461	1,137,385	745,339	4,553,154
Mental Health	0	0	0	0	0	0
Substance Abuse	0	0	0	0	0	0
Nursing Home	906,291	1,216,621	1,967,429	1,742,151	1,141,648	6,974,140
Pharmacy	0	0	0	0	0	0
Hospice	140,832	189,055	305,725	270,719	177,405	1,083,736
Community Based Organizations	209,731	281,546	455,296	403,163	264,196	1,613,932
All Other	612,799	822,633	1,330,301	1,177,977	771,939	4,715,649
<b>Total Funds Distributed</b>	<b>8,471,302.00</b>	<b>11,372,032.00</b>	<b>18,390,005.00</b>	<b>16,284,280.00</b>	<b>10,671,239.00</b>	<b>65,188,858</b>
<b>Undistributed Revenue</b>	<b>2,199,936.53</b>	<b>0.96</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,199,935</b>



**Actual DY1Q1 and DY1Q2 Submitted in Quarter Two Report**

Benchmarks

Waiver Revenue DY1	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
10,671,239	67,388,793	9,931,656	66,649,210

Funds Flow Items	Quarterly Amount - Update		Percent Spent By Project												DY Adjusted Difference	Cumulative Difference	
			Projects Selected By PPS														
	DY1 Q1	DY2 Q1	2.a.II	2.b.vII	2.b.vII I	2.c.I	2.d.I	3.a.I	3.a.IV	3.d.III	3.g.I	4.a.III	4.b.I				
Practitioner – Primary Care Provider (PCP)	0	12,500	100	0	0	0	0	0	0	0	0	0	0	0	0	2,585,013	19,976,036
Practitioner – Non-Primary Care Provider (PCP)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	216,785	1,668,220
Hospital	167,952	530,759	0	0	0	0	0	0	0	0	0	0	0	0	0	2,496,957	23,892,780
Clinic	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Case Management / Health Home	0	18,134	0	0	0	0	100	0	0	0	0	0	0	0	0	573,549	4,535,020
Mental Health	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Substance Abuse	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Nursing Home	0	10,238	0	100	0	0	0	0	0	0	0	0	0	0	0	896,053	6,963,902
Pharmacy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Hospice	0	0	0	0	140,832	0	0	0	0	0	0	0	0	0	0	140,832	1,083,736
Community Based Organizations	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	209,731	1,613,932
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	612,759	4,715,649
<b>Total Expenditures</b>	<b>167,952</b>	<b>671,631</b>															

*Note: The waiver revenues included in the above tables represent only the valuation amounts the PPS were awarded associated with the DSRIP waiver funds and is not inclusive of any non-waiver funds.*

**PPS Implementation Milestones**

In order to earn the Domain 1 (Overall Project Progress) funding, the PPS is required to complete established Organizational and Project milestones. The milestones represent key points in PPS implementation efforts and the foundation for achieving the goals of the DSRIP Program. Through the PPS Quarterly Reporting process the PPS will provide updates on their progress towards meeting these defined milestones and will be awarded payments for achieving milestones by required completion dates. The following table represents the milestones that the PPS has committed to completing during the first two quarters of DSRIP Year 1. The table includes those milestones the PPS has committed to completing through the end of DSRIP Year 1, Quarter 2 (September 30, 2015), and the status of those milestones based on the initial submission of the PPS Quarterly Report for Quarter 2. The completion of any milestone, through the end of Quarter 2, must be validated by the DSRIP Independent Assessor for the purpose of awarding payments to the PPS.

**PPS Milestones Completed through September 30, 2015 (Quarter 2 of DSRIP Year 1)**

The below table reflects the Milestones completed by PPS through DY1Q2. As DSRIP project implementation progresses Milestones will expand to include both Organizational and Project Level Milestones.

Section/Project	Milestone	Completion Date
<b>Budget</b>	1: Complete funds flow budget and distribution plan and communicate with network	9/30/15
<b>Governance</b>	1: Finalize governance structure and sub-committee structure	9/30/15
<b>Governance</b>	3: Finalize bylaws and policies or Committee Guidelines where applicable	9/30/15