

Advocate Community Providers PPS DSRIP Year 2, Quarter 2 APPEAL

Advocate Community Providers (ACP) PPS submitted an appeal requesting that the Independent Assessor (IA) reconsider its Actively Engaged determination for Projects 2.b.iii, 2.b.iv, 3.b.i, and 3.d.iii.

Independent Assessor's Initial Determination

Required Documentation

The PPS was required to submit documentation to support the numbers of Actively Engaged members reported by the PPS in their DY2, Q2 Quarterly Report. The supporting documentation must include at a minimum the Medicaid CIN# (Client Identification Number) or the Medicaid Managed Care Policy Number.

Initial IA Determination:

Project 2.b.iii- Fail
Project 2.b.iv. – Pass and Ongoing
Project 3.b.i- Fail
Project 3.d.iii- Fail

Rationale for Initial IA Determination

Project 2.b.iii

PPS Committed Number: 16,250
PPS Reported Number: 8179
IA Approved Number (Unduplicated): 4979
Percentage of Commitment: 30.64%

Project 2.b.iv.

PPS Committed Number: 24,596
PPS Reported Number: 24,596
IA Approved Number (Unduplicated): 20,752
Percentage of Commitment: 84.37%

Project 3.b.i.

PPS Committed Number: 124,477
PPS Reported Number: 124,477
IA Approved Number (Unduplicated): 65,801
Percentage of Commitment: 52.86%

Project 3.d.iii.

PPS Committed Number: 84,599
PPS Reported Number: 48,503

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IA Approved Number (Unduplicated): 22,089
Percentage of Commitment: 26.11%

ACP PPS Appeal

ACP PPS subsequently filed a formal appeal to the IA's initial determination. In its request for reconsideration, ACP requested:

- *That the Independent Assessor include patient engagement data and supporting documentation submitted by ACP for DY2, Q1 collectively with Actively Engaged data reported in DY2, Q2 for Projects 2.b.iii, 2.b.iv, 3.b.i, and 3.d.iii.*
- *That the Independent Assessor change the review status of Project 3.b.i from Fail to Pass and Ongoing since it met 80% of its Actively Engaged commitments for DY2Q2.*
- *That the DY2, Q2 adjudicated Quarterly report and DY2 second quarter AV Scorecard reflect all corrections.*

Independent Assessor's Final Determination

Final IA Determination after Appeal

Upon further review and validation by the IA, the ACP PPS will be receiving an amended IA approved cumulative total for its actively engaged commitments for all the projects subject to appeal in order to reflect both DY2Q1 and DY2Q2 supporting documentation. In many cases the IA found that much of the data submitted in support of the reported numbers do not include Medicaid CIN numbers nor Medicaid Managed Care Policy Identification numbers.

Rationale for Final IA Determination

The IA accepted ACP PPS explanation that the IA approved amount only reflected the patients on the lists uploaded in the DY2Q2 submission without taking into account the patients lists uploaded in DY2Q1 submission. However, upon further review various reporting issues were discovered with the data submitted in support of the reported amount.

The PPS' Actively Engaged IA Approved numbers below reflects the actively engaged submission from both DY2Q1 and DY2Q2 after going through validation by the IA:

Project 2.b.iii

IA initial Approved Quarterly Update was 4979. Upon subsequent review the new IA Approved Quarterly Update is 7893. This includes 2914 AE from DY2Q1 and 4979 AE for DY2Q2.

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PPS Committed Number: 16,250
PPS Reported Number: 8179
IA Approved Number (Unduplicated): 7893
Percentage of Commitment: 48.57%
Status: Fail

Project 2.b.iv.

IA initial Approved Quarterly Update was 20752. Upon subsequent review the new IA Approved Quarterly Update is 23019. This includes 3558 AE from DY2Q1 and 19461 AE for DY2Q2.

PPS Committed Number: 24,596
PPS Reported Number: 24,596
IA Approved Number (Unduplicated): 23,019
Percentage of Commitment: 93.58%
Status: Pass and Ongoing

Project 3.b.i.

IA initial Approved Quarterly Update was 65,801. Upon subsequent review the new IA Approved Quarterly Update is 60,415. This includes 20,615 AE from DY2Q1 and 39,800 AE for DY2Q2. Systematic errors in the Actively Engaged reporting methodology were identified. This resulted in an additional reduction in the IA Approved Quarterly Update as outlined below:

DY2Q1 submission: **79235**
 -11239 BLANK CIN/Medicaid Managed Care Policy numbers
 - 46625 Invalid CIN/Medicaid Managed Care Policy numbers
 -694 Other (account numbers with letters only)¹
 - 62 Duplicate CIN/HMO Policy numbers

 20615 Actively Engaged Total Validated for DY2Q1

DY2Q2 submission: **65802**
 -23055 Invalid CIN/Medicaid Managed Care Policy number
 -2672 Duplicate CIN/Medicaid Managed Care Policy number
 -275 Other (Includes account numbers with letters only)

 39800 Actively Engaged Total Validated for DY2Q2

¹ Account numbers with letters only: PPS submitted account numbers in the form of a patient's last name or a merge of a patients first and last name. There were also submissions of 1 letter to 10 letters as the account numbers for patients

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PPS Committed Number: 124,477
PPS Reported Number: 124,477
IA Approved Number (Unduplicated): 60,415
Percentage of Commitment: 48.53%
Status: Fail

Project 3.d.iii.

IA initial Approved Quarterly Update was 22089. Upon subsequent review the new IA Approved Quarterly Update is 18329. Which includes 4599 AE from DY2Q1 and 13730 AE for DY2Q2. Systematic errors in the Actively Engaged reporting methodology was identified. This resulted in an additional reduction in the IA Approved Quarterly Update as outlined below.

| | |
|-------------------|---|
| DY2Q1 submission: | 20978 |
| | -13263 Invalid CIN/HMO Policy numbers |
| | <u>-3116 BLANK CIN/HMO Policy numbers</u> |
| | 4599 Actively Engaged Total |

| | |
|-------------------|--|
| DY2Q2 submission: | 26975 |
| | -8465 Invalid CIN/HMO Policy numbers |
| | -3183 BLANK CIN/HMO Policy numbers |
| | <u>-1597 Duplicates CIN/HMO Policy numbers</u> |
| | 13730 Actively Engaged Total |

PPS Committed Number: 84,599
PPS Reported Number: 48,503
IA Approved Number (Unduplicated): 18,329
Percentage of Commitment: 21.66%
Status: Fail