

Attribution Methodology

Overview of options outlined in Attribution Methodology: Considerations and Options for the Technical Design Subcommittee I, NYS Value Based Payment Workgroup

Attribution – starting points - I

Attribution is necessary to determine which providers will be responsible for which members- both in terms in outcomes and costs.

Three Facets to Consider:

#	Facet	Methodological Aspect
1	Who	To whom the member is assigned (i.e. the type of provider to whom a member can be assigned).
2	How	How the member is assigned to a provider (i.e. the technique or "rule" used to assign a member).
3	When	When during the contract period the member is assigned (retrospective or prospective).



Attribution – starting points - II

Attribution methodology is dependent on type of VBP arrangement. To illustrate:

- Acute Bundle: often, attribution is driven by the hospital that delivers the key intervention
- Integrated Primary Care: attribution centers around the Primary Care Provider
- AIDS/HIV subpopulation: attribution centers around the AIDS/HIV center



Attribution – starting points - III

Draw upon leading practices nationwide but also on current practices of MCOs and providers



Remember: Key Questions for all Topics

- Should the State set a Standard (or should an issue be left to MCOs and providers)?
 - If yes, the topic merits scrutiny and detailed discussion
 - If no, is it useful to have a *Guideline* to aid in the negotiations between MCOs and providers?
 - If yes, the topic merits adequate discussion
 - If no, the topic does not require additional discussion
- If a topic has relevance for how the State will provide cost and outcome information (including potentially shared savings) to MCOs and providers, a Guideline will be required to inform the way this data is calculated and reported



Facet #1 – Who: To What Provider are Members Attributed

- For **Total Care for the Total Population** (TCTP) and **Integrated Primary Care** and the **Chronic Care bundle**, the suggested provider attribution is the Primary Care Provider (PCP).
- For **non-chronic bundles**, the suggested provider attribution is the primary provider of the core service that 'triggers' the bundle.
- For the **AIDS/HIV Subpopulation**, the suggested provider attribution is an AIDS/HIV center.
- For the **MLTC Subpopulation**, the suggested provider attributed is the a MLTC provider (home and/or residential care).
- For the **HARP Subpopulation**, the suggested provider attributed is a Health Home.



Facet # 2 – How are Members Attributed to Providers

There are four options for HOW members can be attributed.

- 1. The MCO could assign the members (GP, HH)
- 2. Members could be asked to choose a provider (GP, HH, PPS/hub?)
- 3. Members could be assigned to a provider by their pattern of use1
- 4. Members could be assigned geographically



Facet #3 – When: Prospective, Retrospective, or a Hybrid

- Prospective Attribution: When using this method, providers are given a list of members for whom they will be responsible at the beginning of a performance year. In most cases, this list is based on data from the members' use of services in the previous year(s).
- 2. Retrospective Attribution (Performance Year): This method attributes members at the end of the year based on members' use of care during the actual performance year based on the actual usage.
- 3. Hybrid of the above (Retro- and Prospective): An initial prospective assignment methodology is utilized with a retrospective reconciliation. It begins with prospective attribution, final reconciliation happens at the end of performance year.

