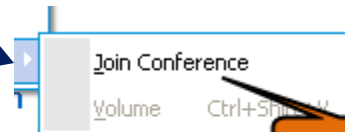
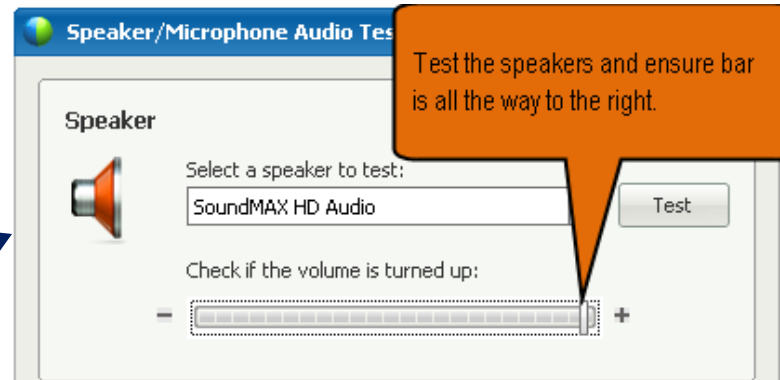
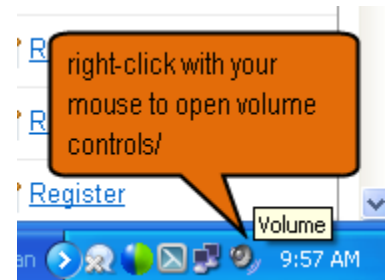


# Before We Begin

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  - ✓ Ensure speakers are working in WebEx



- If you still have difficulties, contact your IT unit or contact Cisco WebEx at 1-866-229-3239



# Uniform Assessment System - New York Assisted Living Program

July 31, 2013

# Statewide Implementation Plan

Region	Counties	Begin Transition Activities	Deadline for Implementation of UAS-NY
6	Allegany, Cattaraugus, Chemung, Erie, Genesee, Livingston, Monroe, Niagara, Ontario, Orleans, Schuyler, Seneca, Steuben, Wayne, Wyoming, Yates	May 1, 2013	October 1, 2013
5	Cayuga, Chenango, Cortland, Delaware, Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence, Tioga, Tompkins	June 1, 2013	November 1, 2013
4	Albany, Clinton, Columbia, Essex, Franklin, Fulton, Greene, Hamilton, Montgomery, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Washington	July 1, 2013	December 1, 2013
3	Dutchess, Orange, Putnam, Rockland, Sullivan, Ulster	August 1, 2013	January 1, 2014
1 and 2	Nassau, New York City, Suffolk, Westchester	September 1, 2013	February 1, 2014

# Current Scope of UAS-NY

- UAS-NY will replace existing assessment instruments in the following programs/plans:
  - Adult Day Health Care
  - Assisted Living Program
  - Care at Home Waivers I & II
  - Consumer Directed Home Care
  - Long Term Home Health Care
  - Managed Long Term Care
  - Nursing Home Transition and Diversion Waiver
  - Personal Care
  - Traumatic Brain Injury Waiver
- For Assisted Living Programs, the UAS-NY will replace:
  - Hospital/Community Patient Review Instrument (PRI) and Screen
  - DSS – 4449B Identifying Information
  - DSS – 4449D Nursing/Functional/Social Assessment

# Update on Medical Evaluation

- Personal Health Summary Report
- Coordinating the Assessment and Physician Visit

# Health Commerce System



- ALP Organization Type: Adult Care Facility
- Separate entry for each license
- Appropriate UAS roles must be assigned for each ALP license
  
- Option for LHCSA's associated with an ALP:
  - assign UAS roles under ALP **AND** LHCSA
  - or
  - assign UAS role under ALP only (RECOMMENDED)

# Fundamental UAS-NY Concepts

**UAS-NY**

- Unique record for all individuals
- Organization Case List

# Fundamental UAS-NY Concepts Reports

**Uniform Assessment System - New York  
Personal Health Summary Report**

Consumer Name: Zachary DONOTUSE z2Zacharias Medical ID: NFLOC Score: Not calculated  
 Community Assessment Date: 01/18/2010 Functional Supplement Date: 05/09/2013

Category and Item	Response
Mental Health	Missing
Cognitive Performance Scale (scale 0-6) 0-5 range: Intact, Borderline, Mild, Moderate/Severe, Severe, Very Severe	Missing
Depression Rating Scale (scale 0-14) 0-14 range: Score of 3 or greater suggests possible depression	Missing
Communication	Missing
Making self understood	Missing
Ability to understand others	Missing
Hearing	Missing
Vision	0 -
Social Functioning, Social Support and Home Situation	No
Informal helper status	Missing
0-4 range: Helper(s) unable to continue, unwilling to assist, feelings of distress, overwhelmed	0 -
Person unaccepting of helper(s) involvement	0 -
Lives alone	Missing
Home Environment Concerns	Missing
0-5 range: Home disrepair, Squalid conditions, Poor heating/cooling, Unsafe, Poor access	Missing
Physical Functioning	Missing
ADL Self Performance Hierarchy (scale 0-6) 0-5 range: Early, middle & late loss ADLs: Hygiene, Toilet use, Locomotion and Eating	Missing
Transfer toilet	0 -
Locomotion in home	0 -
IADL assistance needed	0 -
0-9 range: Meals, Housework, Finances, Meds, Phone, Stairs, Shopping, Transportation, Equipment	0 -
Pain	Control with any catheter or ostomy
Pain Scale	Complete control with ostomy
0-4 range: No pain, Less than daily, Daily not severe, Daily excruciating	
Continence	
Bladder continence	
Bowel continence	
Falls Risk	
Falls	
Symptom Review	
None	
<b>Community Assessment Diseases</b>	
<b>Disease</b>	<b>Disease Diagnosis</b>
Alzheimer's disease	Diagnosis present, receiving active treatment
Hip Fracture	Primary diagnosis/diagnosis for current stay

**Uniform Assessment System - New York  
Assessments Finalized**




Reporting Period: March 2013 through July 2013  
 Program(s)/Plan(s): All Plans/Programs  
 Organization(s): Statewide  
 County: All Counties (including missing)  
 Organization Submitting report: NYSDOH OLTIC

in: ADHC - Adult Day Health Care




Ad M	Address	City	Phone	Assess Date	Assess Type	Finalized By
CATHERINE M	126 CROSS ST	BINGHAMTON, NY	(607) 722-8759	07/19/2013	CA	Renee Ann Metera
ICTA	450 JOHNSON RD	KIRKWOOD, NY	(607) 236-7229	07/25/2013	CA	Tracy Lynn Therpeau
	130 LEFFERTS PL	BKLYN, NY	(718) 783-5946	07/22/2013	CA	Madeline Roy
	888 HUNT RD	LAKEWOOD, NY	(716) 736-1343	07/09/2013	CA	Jody Shely
	3510 Mildred St	Binghamton, NY	(607) 723-9192	05/30/2013	CA	Renee Ann Metera
	9 HARRISON PL	Binghamton, NY	(607) 723-9192	07/26/2013	CA	Renee Ann Metera
	11 ORTON AVENUE	GLENS FALLS, NY	(518) 793-6034	06/18/2013	CA	Arne Nichols
	720 10TH ST Spallino Towers APT 409	NIAGARA FALLS, NY	(607) 729-1622	06/28/2013	CA	Rose Dikenbeck
	4-103 REGENCY PK NORTH	QUEENSBURY, NY	(716) -8284	07/08/2013	CA	Heidi Burns
	4-103 REGENCY PK NORTH	QUEENSBURY, NY		07/17/2013	CA	Arne Nichols RN
	18 RUIHLAND AVE	BUFFALO, NY	(716) 894-3672	07/19/2013	CA	Arne Nichols
	1 PEARL AVE	LACKAWANNA, NY	(716) 822-0624	07/17/2013	CA	Diane Drubnik
	02 Old State Rd	Deposit, NY	(914) 482-3096	06/28/2013	CA	Diane Drubnik
	East 2nd street 1th floor	Brooklyn, NY	(718) 854-5440	07/15/2013	CA	Debra Collins
	HAMTON RD	BINGHAMTON, NY	(607) 723-8549	07/18/2013	CA	Renee Ann Metera
	NEPTUNE AVENUE	BROOKLYN, NY		07/19/2013	CA	MARINA KORETS
	1 LAKEVIEW	JAMESTOWN, NY	(716) 499-8584	07/26/2013	CA	Jeanne Thierfeldt RN




# Fundamental UAS-NY Concepts Program Plan

 **Add**    **Refresh**    **Delete**   **Update Comments**   **Check Missing**


**Zachary**

- Identification Info
- Open/Close
-  Addresses
-  **Program/Plan**
  - 07/24/2013**
  - 05/17/2013
  - 08/05/2010
-  Assessments

**Admit/Enroll Date**  

---

**PROGRAM/PLAN**


**Admit/Enroll Program/Plan**  

**Program/Plan Provider**

**Assessing Organization**

---

**Calculated Next RA Due Date**



# UAS-NY Assessment Instruments

- Three Assessment Instruments
  - Community Assessment (includes Functional and Mental Health Supplements)
  - Pediatric Assessment 0 – 3
  - Pediatric Assessment 4 – 17
- Required Training
- Time to Complete Assessments

# Assessment Outcomes

## Uniform Assessment System - New York Assessment Outcomes Report

Person, Any

Date of Birth: 01/01/1950

Medicaid ID: BB12345G

Assessment Date: 02/27/2013

### Section Outcomes

Nursing Facility Level of Care	18
Resource Utilization Group	Clinically Complex / ADL 4 - 5 / IADL 0
Age at Assessment	63
Medicare eligibility	Active
Able to tolerate the duration and method of transportation to access community based programs and other medical services outside the home	Yes
ADL Performance	
Transfer Toilet	Supervision - Oversight/cuing
Toilet Use	Supervision - Oversight/cuing
Bed Mobility	Independent, setup help only - Article or device provided or placed within reach, no physical assistance or supervision in any episode
Eating	Limited assistance - Guided maneuvering of limbs, physical guidance without taking weight
Expected to need continued services for a period of 30 days or more from the assessment date	Yes
Expected to need continued services for a period of 120 days or more from the assessment date	Yes
Programs Ruled Out	
CAH I - Care at Home I Waiver	
CAH II - Care at Home II Waiver	
Possible Program Choices	
ADHC - Adult Day Health Care	
TBI - Traumatic Brain Injury Waiver	
MLTC - Managed Long Term Care	
MAP - Medicaid Advantage Plus	
PACE - Program for the All-Inclusive Care of the Elderly	
ALP - Assisted Living Program	
CDPAP - Consumer Directed Personal Assistance Program	
AHCP - Long Term Home Health Care Program	
LTHHCP - Long Term Home Health Care Program	
NHTD - Nursing Home Transition & Diversion Waiver	
PCSP - Personal Care Services Program	



# RUGS-III HC

Several of the PRI rate codes are used infrequently or not at all.

# RUGS-III HC

## Uniform Assessment System - New York RUGs History Report

*Person, Any*

*Date of Birth: 1/1/1950*

*Medicaid ID: BB12345G*

<u>Assess Date</u>	<u>Category</u>	<u>Group Code</u>	<u>ADL Index</u>	<u>IADL Index</u>	<u>Case Mix</u>
2/27/2013	Clinically Complex	CA1	ADL 5: Index 4 - 5	IADL 0: Index 0	432



# RUGS-III HC

RUGS III HC		PRI RUGS II			
RUGS Category	RUGS Group	RUGS Category	RUGS Group	Rate Code	Rate Description
<b>Rehabilitation</b>		<b>Heavy Rehabilitation</b>			
	RB0		RB	3303	RUGS II GROUP-RB, NON-MEDICARE
	RA2, RA1		RA	3301	RUGS II GROUP-RA, NON-MEDICARE
<b>Extensive Services</b>		<b>Special Care</b>			
	SE3		SB	3307	RUGS II GROUP-SB, NON-MEDICARE
	SE2, SE 1		SA	3305	RUGS II GROUP-SA, NON-MEDICARE
<b>Special Care and Clinically Complex</b>		<b>Clinically Complex</b>			
	SSB, CC0		CD	3315	RUGS II GROUP-CD, NON-MEDICARE
	SSA		CC	3313	RUGS II GROUP-CC, NON-MEDICARE
	CB0		CB	3311	RUGS II GROUP-CB, NON-MEDICARE
	CA1, CA2		CA	3309	RUGS II GROUP-CA, NON-MEDICARE
<b>Impaired Cognition and Behavior Problem</b>		<b>Severe Behavior</b>			
	IB0, BB0		BC	3321	RUGS II GROUP-BC, NON-MEDICARE
	IA2, BA2		BB	3319	RUGS II GROUP-BB, NON-MEDICARE
	IA1, BA1		BA	3317	RUGS II GROUP-BA, NON-MEDICARE
<b>Physical Function</b>		<b>Physical</b>			
	PD0		PE	3331	RUGS II GROUP-PE, NON-MEDICARE
	PC0		PD	3329	RUGS II GROUP-PD, NON-MEDICARE
	PB0		PC	3327	RUGS II GROUP-PC, NON-MEDICARE
	PA2		PB	3325	RUGS II GROUP-PB, NON-MEDICARE
	PA1		PA	3323	RUGS II GROUP-PA, NON-MEDICARE

Several of the PRI rate codes are used infrequently or not at all.

# Nursing Facility Level of Care

## Uniform Assessment System - New York Level of Care Report

Person, Any

Date of Birth: 01/01/1950

Medicaid ID: BB12345G

### NF-LOC Score 18: Meets NF-LOC

#### Cognitive skills for daily decision making

Making decisions regarding tasks of daily life - e.g., when to get up or have meals, which clothes to wear or activities to do:

Minimally impaired - In specific recurring situations, decisions become poor or unsafe; cues / supervision necessary at those times

#### Memory / Recall Ability

Code for recall of what was learned or known

Short-term memory OK - Seems/appears to recall after 5 minutes:

Yes, memory OK

Procedural memory OK - Can perform all or almost all steps in a multi-task sequence without cues:

Memory Problem

Making self understood (expression)

Expressing information content - both verbal and non-verbal:

Usually understood - Difficulty finding words or finishing thoughts; BUT if given time, little or no prompting required

#### Behavior Symptoms

Code for indicators observed in last 3 days, irrespective of the assumed cause

Wandering - Moved with no rational purpose, seemingly oblivious to needs or safety:

Not present

Verbal abuse - e.g., others were threatened, screamed at, cursed at:

Present but not exhibited in last 3 days

Physical abuse - e.g., others were hit, shoved, scratched, sexually abused:

Not present

Socially inappropriate or disruptive behavior - e.g., made disruptive sounds or noises, screamed out, smeared or threw food or feces, hoarding, rummaged through other's belongings:

Not present

Inappropriate public sexual behavior or public disrobing:

Not present

Resists care - e.g., taking medications/injections, ADL assistance, seating:

Present but not exhibited in last 3 days

Stairs - How full flight of stairs is managed (12-24 stairs)

Stairs - PERFORMANCE:

Limited assistance - Help on some occasions

#### ADL Performance

If all episodes are performed at the same level, score ADL at that level.

If any episodes at the level of Total dependence, and others less dependent,

score ADL as a Maximal assistance.

Otherwise, focus on the three most dependent episodes [or all episodes if performed fewer than 3 times].

If most dependent episode is Independent, setup help only, score ADL as

Independent, setup help only.

If not, score ADL as least dependent of those episodes in between Supervision to Maximal assistance.

Bathing - How takes bath or shower. Includes how transfers in and out of tub or shower AND how each part of body is bathed: arms, upper and lower legs, chest, abdomen, perineal area - EXCLUDE WASHING OF BACK AND HAIR.:

Supervision - Overnight/cuing

Dressing Upper Body - How dresses and undresses (street clothes, underwear) above the waist, including prostheses, orthotics, fasteners, pullovers, etc.:

Independent, setup help only - Article or device provided or placed within reach, no physical assistance or supervision in any episode

# Surveyors and the UAS-NY

- Surveyor Training and Process
- Availability of the UAS-NY in Resident's Chart
- Quick Points
  - Reasonable effort to Secure Release
  - Use of Existing Forms
  - Pending Medicaid Application is Acceptable



# Use of UAS-NY for non-Medicaid Recipients

- Primary focus is for Medicaid recipients
- Release required for non-Medicaid Recipients
  - DOH 5032 is required
  - Must be retained by organization
- Quick Points
  - Reasonable effort to Secure Release
  - Use of Existing Forms
  - Pending Medicaid Application is Acceptable

## **Additional information**

### **DOH Website**

**[http://www.health.ny.gov/health\\_care/medicaid/redesign/uniform\\_assessment\\_system/index.htm](http://www.health.ny.gov/health_care/medicaid/redesign/uniform_assessment_system/index.htm)**

**Questions may be emailed to:**

**[uasny@health.state.ny.us](mailto:uasny@health.state.ny.us)**

**or**

**Call UAS-NY Support Desk**

**Monday – Friday**

**8:30 AM – 4:00 PM**

**518-408-1021**



# Questions